

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

League of Women Voters of MN
Education Fund, Vivian Latimer
Tanniehill

Court File No. 20-cv-01205

Plaintiffs,

vs.

Steve Simon, in his official
capacity as Secretary of State of
Minnesota,

**DEFENDANT’S ANSWER TO
PLAINTIFFS’ COMPLAINT FOR
DECLARATORY AND INJUNCTIVE
RELIEF**

Defendant.

Defendant Steve Simon (“Defendant”), in his official capacity as Secretary of State for the State of Minnesota, by and through his counsel, the Office of the Minnesota Attorney General, hereby Answers Plaintiffs’ Complaint for Declaratory and Injunctive Relief as follows:

Except as hereinafter expressly admitted, qualified, denied, or otherwise answered, Defendant denies each and every allegation in the Complaint.

1. Minnesotans take pride in having built what is, in many ways, a thriving democracy. Minnesota’s voter turnout routinely tops the nationwide rankings. The state provides access to absentee ballots for all registered voters, while successfully protecting its elections from fraud and maintaining public trust in the system.

ANSWER: Admit.

2. However, the nationwide outbreak of Coronavirus Disease 2019 (“COVID-19”) poses a serious threat to the health of American elections. Minnesota is not

immune. In fact, Minnesota's election system includes a feature that makes it especially vulnerable to the risk of suppressed voter participation during the COVID-19 pandemic.

ANSWER: Defendant admits that COVID-19 presents a public health crisis that requires measures to minimize public exposure in a variety of settings, including elections. Defendant denies the remaining allegations.

3. To vote by absentee ballot in Minnesota, a voter must fill out the ballot in the physical presence of a witness and have the witness sign a certificate. Requiring a witness for absentee voting places Minnesota in a small minority of states. Moreover, Minnesota imposes unusually restrictive limits on who may serve as a witness for absentee voting. Only a registered Minnesota voter, a notary, or another person authorized to administer oaths may play this role.

ANSWER: Defendant admits the allegations in the first and fourth sentences, and denies the allegations in the second and third sentences.

4. Minnesota's August 2020 primary and the November 2020 general election will be conducted under extraordinary circumstances. At the urging of health experts and their own governmental leaders, millions of Minnesotans—especially senior citizens and those with underlying health conditions—are avoiding contact with people outside their households to slow the spread of COVID-19. Under current state law, absentee voting will require voters to violate this social distancing protocol, unless they happen to live with someone who can serve as a witness.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

5. Minnesotans' constitutional right to vote cannot be conditioned on their willingness to subject themselves, their families, and their communities to a heightened risk of COVID-19. This is particularly true when the state law forcing voters to interact in person with witnesses is, at best, minimally useful to the state.

ANSWER: Deny.

6. Moreover, even in ordinary times, Minnesota's restrictive qualifications on who can serve as a witness to an absentee ballot severely burden many Minnesota voters and are not tailored to any state interest. Absentee voting processes are often used because a voter will not be in the state on Election Day. For such voters, finding a Minnesota registered voter witness is obviously a challenge. Minnesota registered voters are not uniquely qualified to attest to a person's identity; indeed, Minnesota is the only state with such a restrictive stance on who can serve as a witness to a ballot.

ANSWER: Deny. Defendant affirmatively states that the witness requirement does not apply to Minnesota military voters or voters living abroad. *See* Minn. Stat. § 203B.16-.24.

7. Plaintiffs therefore seek an injunction and declaratory judgment permitting Minnesota voters to vote by absentee ballot in the 2020 elections without involving a witness, as well as permanent relief from Minnesota's unduly restrictive qualification requirements for who may serve as a witness.

ANSWER: Defendant admits that Plaintiffs seek this relief, and denies Plaintiffs' mischaracterization of Minnesota's witness requirements.

JURISDICTION AND VENUE

8. This Court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C. § 1331 and 28 U.S.C. § 1343.

ANSWER: Admit.

9. This Court has personal jurisdiction over Defendant Steve Simon, who resides and maintains his office in this District.

ANSWER: Admit.

10. Venue is proper in this District under 28 U.S.C. § 1391 because Defendant resides in this District and because the events and omissions giving rise to Plaintiffs' claim occurred in this District.

ANSWER: Defendant admits that venue is proper.

11. This Court has jurisdiction to grant both declaratory and injunctive relief pursuant to 28 U.S.C. §§ 2201 and 2202.

ANSWER: Admit.

PARTIES

Plaintiffs

12. Plaintiff League of Women Voters of Minnesota Education Fund ("LWVMN") is a nonpartisan, nonprofit organization under Section 501(c)(3) of the Internal Revenue Code and is an affiliate of the League of Women Voters of the United States. LWVMN encourages informed and active participation in government, works to increase understanding of major public policy issues, and influences public policy through education and advocacy. LWVMN has approximately 2,200 members

throughout Minnesota. These members, like all Americans, have all had their daily lives altered by COVID-19. Most, if not all, of these members are adhering to strict social distancing protocols to avoid contracting the coronavirus. Many of LWVMN's members are particularly vulnerable to COVID-19 either due to their age or other underlying health conditions. Others live in households with individuals particularly vulnerable to COVID-19. Some of these members live by themselves or live in households that do not include another registered Minnesota voter. Thus, some of LWVMN's members are registered voters who, under current law, will face a choice between risking their health in order to vote or not voting at all because of Minnesota's witness requirement for absentee voting. LWVMN has diverted resources, and will continue to divert resources, away from its core activities in order to educate voters about the witness requirement and, to the extent possible, help them comply.

ANSWER: Defendant lacks sufficient knowledge or information to admit or deny, and therefore denies.

13. Plaintiff Vivian Latimer Tanniehill is a registered voter in Woodbury, Minnesota. She serves as Advocacy Chair of LWVMN and is a member of both LWVMN and the Woodbury-Cottage Grove Area chapter of the League of Women Voters. Ms. Latimer Tanniehill is sixty-seven years old and lives alone. She has lived in Minnesota since she was seven years old, when her family moved from Birmingham, Alabama. As a Black woman who spent her early childhood in the Jim Crow South, Ms. Latimer Tanniehill remembers her mother telling her about schemes of disenfranchisement, from poll taxes to literacy tests written in foreign languages. Shortly

after moving to Minnesota, she saw her mother vote in the 1960 election—the first election in which she had ever been able to vote. These early experiences impressed upon Ms. Latimer Tanniehill the paramount importance of voting. As an adult, she has voted regularly. Although she usually votes in person, she plans to vote by absentee ballot in upcoming elections including those scheduled for August and November 2020, due to the COVID-19 pandemic. Ms. Latimer Tanniehill has previously had heart surgery, and lives with underlying health conditions that place her at an elevated risk of becoming severely ill if she contracts COVID-19. She fears contracting and spreading COVID-19 if she is forced to interact with another person in order to vote.

ANSWER: Defendant lacks sufficient knowledge or information to admit or deny, and therefore denies.

Defendant

14. Defendant Steve Simon (“Secretary Simon” or “Defendant”) is the Secretary of State of Minnesota and is sued in his official capacity. Secretary Simon plays a central role in administering and overseeing Minnesota elections. Secretary Simon is responsible for compiling and publishing Minnesota’s general election laws and has the power to issue “detailed written instructions” to local officials “for complying with election laws relating to the conduct of elections, conduct of voter registration and voting procedures.” Minn. Stat. § 204B.27, subd. 2. More specifically, Secretary Simon is required to adopt legally binding rules “establishing procedures to be followed by county auditors and municipal clerks to assure accurate and timely return of absentee ballots,” Minn. Stat. § 203B.08, subd. 4, “establishing the form, content, and type size

and style for the printing of blank applications for absentee ballots, absentee voter lists, return envelopes, certificates of eligibility to vote by absentee ballot, ballot envelopes, and directions for casting an absentee ballot,” Minn. Stat. § 203B.09, and governing “the conduct of mail balloting,” Minn. Stat. § 204B.45, subd. 3.

ANSWER: Defendant admits that he is the chief election office for the State of Minnesota and is sued in his official capacity. Defendant affirmatively states that his authority and responsibilities are set forth in Minnesota Statutes, chapters 200 to 212, and denies any allegations to the extent they are inconsistent with those chapters.

FACTS

Absentee Ballots are Crucial to Voter Access in Minnesota, Especially During the COVID-19 Pandemic

15. Any eligible voter in Minnesota may vote by absentee ballot. Minn. Stat. § 203B.02, subd. 1. Minnesota law also permits elections in certain towns, cities, and unorganized territories to be conducted entirely by mail, “with no polling place other than the office of the auditor or clerk or other locations designated by the auditor or clerk.” Minn. Stat. § 204B.45.

ANSWER: Defendant refers to the cited statutes and denies the allegations to the extent they are inconsistent with the statutes.

16. Officials at the state and local levels participate in administering absentee voting. The Secretary of State coordinates absentee voting statewide by promulgating rules to establish uniform procedures and prescribe standard forms for printed materials including ballot envelopes, return envelopes, certificates of eligibility, and instructions to

voters. *See* Minn. Stat. § 203B.08; Minn. Stat. § 203B.09; Minn. Stat. § 204B.45, subd. 3.; *see also* Minn. R. 8210.0500 (form instructions to absentee voters, promulgated by the Secretary); Minn. R. 8210.3000, subp. 4a (form instructions to voters in mail elections, promulgated by the Secretary). County auditors and municipal clerks administer absentee voting locally by, *inter alia*, printing the necessary materials, accepting absentee ballot applications, providing ballots to eligible voters, receiving voted ballots, and delivering those ballots to the local ballot board for acceptance or rejection. *See* Minn. Stat. §§ 203B.05-203B.08, 203B.121, 204B.45. In printing materials for absentee voting, local officials must follow precisely the forms prescribed by the Secretary of State. Minn.Stat. § 203B.09.

ANSWER: Defendant refers to the cited statutes and denies the allegations to the extent they are inconsistent with the statutes.

17. Minnesota will hold two statewide election days in the remaining part of 2020. Primary elections for offices including U.S. Senate, U.S. House of Representatives, Minnesota Senate, and Minnesota House of Representatives, among others, will be held on August 11, with early voting from June 26 to August 10. The general Presidential election will be held on November 3, with early voting from September 18 to November 2.

ANSWER: Admit.

18. In Minnesota's 2020 elections, access to absentee voting will be substantially more important than it has been in the state's past elections due to the COVID-19 pandemic.

ANSWER: Defendant denies the allegations to the extent it requires him to speculate about conditions in the future.

19. SARS-CoV-2, the virus that causes COVID-19, spreads easily through respiratory droplets. The virus can also be transmitted through contact with contaminated surfaces. Health experts agree that to minimize the chance of contracting COVID-19, it is important for individuals to maintain at least six feet of distance between themselves and others outside their household.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

20. COVID-19 causes severe illness in many patients. Among infected individuals, COVID-19 is, by conservative estimates, several times more likely to cause death than seasonal influenza. Individuals over age 65 and those with certain underlying health conditions are at heightened risk of becoming severely ill or dying from COVID-19.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

21. As of May 18, 2020, there have been 1,480,349 confirmed cases of COVID-19 and 89,407 deaths attributed to COVID-19 in the United States, according to the Centers for Disease Control and Prevention (“CDC”). Minnesota has had 16,372 confirmed cases and 739 deaths. These figures almost certainly understate the real numbers of COVID-19 victims, given limitations in testing.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

22. Although COVID-19 infection rates may decline over the next few months, subsequent resurgences of the disease in Minnesota and throughout the U.S. are likely to occur in 2020 and 2021. Widespread abandonment or relaxation of social distancing behavior would likely lead to a spike in COVID-19 transmission.

ANSWER: Defendant denies the allegations to the extent they require him to speculate about conditions in the future.

23. The Food and Drug Administration has not approved any medication for treatment of COVID-19. While numerous experimental treatments are under investigation, none has been proven safe and effective.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies

24. There is no vaccine for COVID-19, nor is a vaccine likely to become widely available before the November 2020 general election.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

25. Individuals who currently feel and look healthy may nonetheless be contagious carriers of COVID-19. The median incubation period for COVID-19—the length of time between exposure and onset of symptoms—is approximately four to five days. For some individuals, the incubation period may be as long as fourteen days. In some documented cases, individuals infected with SARS-CoV-2 never develop

symptoms. Infected individuals have been observed to transmit the virus to others while not experiencing symptoms.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

26. Many U.S. residents without COVID-19 symptoms are unable to access testing to determine whether they currently have COVID-19.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

27. Recognizing the need for social distancing in order to reduce the spread of COVID-19, Minnesota Governor Tim Walz issued an Executive Order directing all Minnesota residents to stay home through May 17, except when engaging in certain exempt activities. In a subsequent Executive Order currently in effect, Governor Walz renewed many restrictions while relaxing others. The current Executive Order prohibits gatherings of more than ten people, requires public accommodations including restaurants and bars to stay closed, requires workers to work from home if possible, mandates social distancing protocols in workplaces that open, and states that “[a]ll persons currently living within the State of Minnesota who are at risk of severe illness from COVID-19 . . . are strongly urged to stay at home or in their place of residence.”

ANSWER: Defendant admits that the Governor issued the executive order but denies that it is the “current” order.

28. Given the poor public health conditions, in-person voting at the same levels as ordinary elections will not be safe in upcoming elections, particularly for those most vulnerable to COVID-19.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

29. The danger of large-scale in-person voting during the COVID-19 pandemic is illustrated by the Wisconsin presidential primary election held April 7, 2020, which drew more than 400,000 in-person voters, owing in part to the state's failure to provide absentee ballots with sufficient time for many voters to return them. At least 67 people have tested positive for COVID-19 after voting in person or serving as poll workers in the Wisconsin primary. In Milwaukee County alone, at least 52 individuals who participated in the election as in-person voters or poll workers had tested positive for COVID-19 by May 4, including 26 who did not begin experiencing symptoms until April 9 or later. An analysis by university economists has preliminarily found that, controlling for other potentially relevant factors, Wisconsin counties with more in-person voters per voting location had higher rates of positive COVID-19 tests, while counties with more absentee voting had lower positive COVID-19 test rates—patterns that emerged in the weeks following the primary.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

30. Absentee voting represents the best option for most Minnesota voters to participate safely in the 2020 elections. Defendant Simon himself has recognized this

reality, saying in a recent statement: “To slow the spread of COVID-19 we need to reduce large gatherings, including at polling places. I’m challenging all eligible Minnesota voters to cast their vote from the safety of their home.” This statement accords with guidance from the CDC, which recommends that election officials “[e]ncourage mail-in methods of voting” during the pandemic.

ANSWER: Admit.

31. Like many Minnesota voters, Ms. Latimer Tanniehill intends to vote by absentee ballot in the 2020 elections because she fears contracting and/or spreading COVID-19 if she votes in person.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

32. Other members of LWVMN similarly depend on absentee voting for effective access to the franchise in 2020, given the unacceptable health risks associated with voting in person during the pandemic.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

*Minnesota’s Witness Signature Requirement Undermines
Access to Voting During the Pandemic*

33. Unfortunately, Minnesota’s current absentee voting procedures do not provide an adequate solution to the problem of conducting inclusive elections without forcing voters to violate social distancing requirements. Specifically, Minnesota law compels each absentee voter to interact in person with a witness who must be a registered

Minnesota voter, notary, or other person authorized to administer oaths. *See* Minn. Stat. § 203B.07, subd. 3; Minn. R. 8210.0500; Minn. R. 8210.0600; Minn. R. 8210.0710; Minn. R. 8210.3000.

ANSWER: Defendant refers to the cited statutes and rules and denies the allegations to the extent they are inconsistent with those statutes and rules.

34. Minnesota's current absentee voting procedure means that voters who do not live with another registered Minnesota voter or a person authorized to give oaths face a choice: they can vote in the upcoming elections, or they can comply with social distancing best practices to avoid contracting or spreading COVID-19. They cannot reasonably do both.

ANSWER: Defendant denies the allegations to the extent they require him to speculate about what social distancing best practices will be when the elections are held.

35. Each absentee voter in Minnesota receives an envelope on which a blank certificate is printed ("Signature Envelope"). To fill out the certificate, the voter must (1) provide her Minnesota driver's license number, state identification number, or the last four digits of her Social Security number, or indicate that she does not have any of these numbers, and (2) sign a sworn statement that the voter meets all legal requirements to cast this ballot. Minn. Stat. § 203B.07, subd. 3; Minn. R. 8210.0500; Minn. R. 8210.3000.

ANSWER: Defendant refers to the cited statutes and rules and denies the allegations to the extent they are inconsistent with the statutes and rules.

36. The certificate on the Signature Envelope also contains a statement that must be signed by a witness. In addition to signing, the witness must write either her Minnesota street address or her title indicating that she is a notary or other official authorized to administer oaths. If the witness is a notary, she must also affix her notary stamp. *See* Minn. R. 8210.0600, subp. 1a; Minn. R. 8210.3000, subp. 4b.

ANSWER: Defendant refers to the cited rules and denies the allegations to the extent they are inconsistent with the rules.

37. The witness statement always requires the witness to certify that at least four conditions were met.

ANSWER: Defendant refers to the relevant statutes and rules and denies the allegations to the extent they are inconsistent with the statutes and rules.

38. First, the witness certifies that “the voter showed me the blank ballots before voting.” Minn. R. 8210.0600, subp. 1a; Minn. R. 8210.3000, subp. 4b.

ANSWER: Defendant refers to the cited statutes and rules and denies the allegations to the extent they are inconsistent with the statutes and rules.

39. Second, the witness certifies that “the voter marked the ballots in private or, if physically unable to mark the ballots, the ballots were marked as directed by the voter.” Minn. R. 8210.0600, subp. 1a; Minn. R. 8210.3000, subp. 4b.

ANSWER: Defendant refers to the cited statutes and rules and denies the allegations to the extent they are inconsistent with the statutes and rules.

40. Third, the witness certifies that “the voter enclosed and sealed the ballots in the ballot envelope.” Minn. R. 8210.0600, subp. 1a; Minn. R. 8210.3000, subp. 4b.

ANSWER: Defendant refers to the cited statutes and rules and denies the allegations to the extent they are inconsistent with the statutes and rules.

41. Fourth, the witness certifies, “I am or have been registered to vote in Minnesota, or am a notary, or am authorized to give oaths.” Minn. R. 8210.0600; Minn. R. 8210.3000, subp. 4b. Although this form certificate language promulgated by the Secretary of State is ambiguous as to whether a former registered Minnesota voter qualifies as a witness, the statute establishing the witness requirement specifies that the witness, if not a notary or other person authorized to administer oaths, must be “a person who is registered to vote in Minnesota.” Minn. Stat. § 203B.07, subd. 3 (emphasis added).

ANSWER: Defendant refers to the cited statutes and rules and denies the allegations to the extent they are inconsistent with the statutes and rules.

42. Additionally, if the voter is not yet registered to vote and is submitting a voter registration application with an absentee ballot, the witness must certify that “the voter registered to vote by filling out and enclosing a voter registration application in this envelope” and that the voter provided appropriate proof of residence. Minn. R. 8210.0600, subp. 1a; *see also* Minn. Stat. § 203B.07, subd. 3.

ANSWER: Defendant refers to the cited statutes and rules and denies the allegations to the extent they are inconsistent with the statutes and rules.

43. A true and correct copy of the Secretary of State’s sample Signature Envelope for registered absentee voters is attached to this Complaint as Exhibit 1. A true and correct copy of the Secretary of State’s sample Signature Envelope for non-registered

absentee voters is attached to this Complaint as Exhibit 2. A true and correct copy of the Secretary of State's sample Signature Envelope for mail elections is attached to this Complaint as Exhibit 3.

ANSWER: Admit.

44. In addition to receiving a Signature Envelope with a form certificate, each absentee voter receives printed instructions. The form instructions, promulgated by the Secretary of State, reiterate that a witness must sign the certificate, affix a notary stamp if applicable, and provide a Minnesota street address or official title. Minn. R. 8210.0500; Minn. R. 8210.3000, subp. 4a.

ANSWER: Defendant refers to the cited statutes and rules and denies the allegations to the extent they are inconsistent with the statutes and rules.

45. A true and correct copy of the Secretary of State's form instructions for registered absentee voters is attached to this Complaint as Exhibit 4. A true and correct copy of the Secretary of State's form instructions for non-registered absentee voters is attached to this Complaint as Exhibit 5. A true and correct copy of the Secretary of State's form instructions for voters in mail elections is attached to this Complaint as Exhibit 6.

ANSWER: Admit.

46. After a voter submits an absentee ballot, the local ballot board determines, *inter alia*, whether "the certificate has been completed as prescribed in the directions" provided to the voter. Minn. Stat. § 203B.121, subd. 2(b)(2); *see also* Minn. Stat. § 204B.45, subd. 2 (clarifying that "the provisions of the Minnesota Election Law

governing deposit and counting of ballots” generally apply in mail elections, and “[t]he mail and absentee ballots for a precinct must be counted together”). If the certificate is not completed as prescribed by the directions—for example, if the witness signature is missing—the ballot is rejected, and the voter is notified of the rejection. Minn. Stat. § 203B.121, subd. 2.

ANSWER: Defendant refers to the cited statutes and rules and denies the allegations to the extent they are inconsistent with the statutes and rules.

47. Because of the required signed witness statement, an absentee voter in Minnesota must have a witness physically present while marking and sealing the ballot.

ANSWER: Defendant refers to the cited statutes and rules and denies the allegations to the extent they are inconsistent with the statutes and rules.

48. It is difficult or impossible for the voter and witness to maintain a constant distance of at least six feet between them throughout the voting process. Moreover, the voter and witness must touch the same Signature Envelope in order to sign the certificate.

ANSWER: Admit.

49. The voter-witness interaction required by Minnesota’s current absentee voting procedure constitutes a violation of recommended social distancing and creates a substantial risk of COVID-19 transmission, unless the voter and witness already live together.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

50. To give a conservative estimate, there are likely hundreds of thousands of

registered Minnesota voters who do not live with another registered voter or a person authorized to give oaths.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

51. According to 2018 data from the U.S. Census Bureau's Current Population Survey, about 26 percent of voting-age Minnesota residents, and about 37 percent of those over age 65, live alone. Minnesota has almost 3.4 million registered voters. If the rate of living alone is approximately the same for Minnesota's registered-voter population as for its total adult population, then Minnesota has roughly 884,000 registered voters who live alone.

ANSWER: Defendant lacks sufficient information to admit or deny, and therefore denies.

52. Even among voters who do not live alone, there are many who do not live with another registered Minnesota voter or a person authorized to administer oaths. This includes individuals that live only with minors, live in mixed-citizenship status households, or simply live with others that choose not to vote.

ANSWER: Defendant lacks sufficient information to admit or deny, and therefore denies.

53. Upon information and belief, if Minnesota's witness requirement for absentee voting stays in place for the 2020 elections, many eligible voters will be deterred from voting because they reasonably fear contracting or spreading COVID-19.

ANSWER: Defendant admits that COVID-19 may deter voters.

54. Meanwhile, other voters—potentially numbering in the hundreds of thousands—will interact with witnesses outside their household in order to cast absentee ballots. Some of these interactions will lead to transmission of COVID-19. Voters, witnesses, and third parties who come into contact with them may become severely ill or die from COVID-19 cases traceable to absentee voting interactions.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

55. LWVMN has numerous members throughout Minnesota who are registered to vote and live alone. Ms. Latimer Tanniehill is one such member.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

56. LWVMN's membership also includes numerous registered Minnesota voters who do not live alone, but do not live with another registered Minnesota voter, a notary, or another individual authorized to administer oaths.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

57. LWVMN has had to divert resources away from its core activities, such as voter registration drives and candidate forums, because of the witness requirement for absentee voting.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

58. In response to requests from its chapters across the state and its own assessment that the COVID-19 pandemic will cause unprecedented demand for absentee ballots, LWVMN has begun to develop a communications campaign to educate voters about the process for voting by absentee ballot. A major purpose of this anticipated communications campaign is to inform voters that absentee voting requires a witness, and that the witness must be a registered Minnesota voter, a notary, or another individual authorized to administer oaths. If not for the witness requirement, LWVMN would not need to spend the amount of time, money, and other resources it now plans to spend on educating voters about the absentee voting process.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

59. LWVMN has also devoted significant time and energy to developing ideas and plans for how voters can have their absentee ballots witnessed as quickly and safely as possible during the pandemic. LWVMN is considering developing a program in which voters can request and be connected with eligible witnesses. However, such a program would be difficult, expensive, and time-consuming to implement. LWVMN has no existing infrastructure for connecting voters with witnesses. Moreover, LWVMN anticipates that individuals who are willing and able to serve as witnesses in such a program will be scarce, since many individuals will reasonably fear contracting or spreading COVID-19 if they serve as witnesses.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

60. As the 2020 primary and general elections approach, LWVMN will need to devote more resources toward educating voters about the witness requirement and helping them obtain witnesses to the extent possible. This increased diversion of resources comes at the busiest possible time for LWVMN—during the immediate lead-up to a presidential election.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

61. Moreover, LWVMN serves as the primary nonprofit facilitating voter registration at naturalization ceremonies in Minnesota, assisting many new American citizens to register in Minnesota each year. Many naturalized citizens live in mixed immigration status households where they may be the only adult citizen (and thus only eligible voter). LWVMN has a strong interest in ensuring that the voters it registers can cast a ballot. But under Minnesota’s restrictive eligibility requirements for witnesses to absentee ballots, many such citizens may be unable to do so without violating social distancing protocols.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

*Safeguards Other Than Witness Statements Adequately Protect Minnesota Elections
From Any Threat of Absentee Ballot Fraud*

62. Minnesota’s witness requirement for absentee voting produces no benefit remotely on par with the severe burden it places on voters during the COVID-19 pandemic. While Minnesota has a legitimate interest in preventing fraud and the

appearance of fraud in elections, the marginal effect of the witness requirement as an anti-fraud measure is minimal at best.

ANSWER: Deny.

63. Minnesota has no significant history of fraud in absentee voting.

ANSWER: Admit.

64. Minnesota's experience with absentee voting is consistent with the experience of other states. Throughout the U.S., absentee voting fraud is rare. A comprehensive nationwide analysis found 491 cases of absentee voting fraud from 2000 to 2012—a miniscule fraction of all absentee ballots cast during that period. Any given American is more likely to be struck by lightning than to cast a fraudulent absentee ballot.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

65. Most states achieve the same result as Minnesota—no meaningful amount of absentee voting fraud—without requiring a signed witness statement. Minnesota is one of only twelve states where the normal absentee voting process involves a witness or notary. Two of the other eleven—Virginia and Rhode Island—have voluntarily waived or modified their witness requirements for 2020.¹⁵ There is no evidence that states without witness requirements have more absentee voting fraud than states with such requirements.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

66. Minnesota law provides robust safeguards against absentee voting fraud other than requiring voters to obtain a signed witness statement.

ANSWER: Defendant admits that Minnesota law provides safeguards against voting fraud.

67. Under Minnesota law, it is a felony for any individual to “make or sign any false certificate” required by Minn. Stat. Chapter 203B, the statutory chapter on absentee voting; “make any false or untrue statement in any application for absentee ballots;” “apply for absentee ballots more than once in any election with the intent to cast an illegal ballot;” “exhibit a ballot marked by that individual to any other individual;” violate Chapter 203B “for the purpose of casting an illegal vote in any precinct or for the purpose of aiding another to cast an illegal vote;” “use information from absentee ballot materials or records for purposes unrelated to elections, political activities, or law enforcement;” “provide assistance to an absentee voter” except as specifically authorized by law; “solicit the vote of an absentee voter while in the immediate presence of the voter during the time the individual knows the absentee voter is voting;” or “alter an absentee ballot application after it has been signed by the voter, except by an election official for administrative purposes.” Minn. Stat. § 203B.03.

ANSWER: Defendant refers to the cited statutes and denies the allegations to the extent they are inconsistent with the statutes.

68. Similarly, any person who votes in Minnesota despite knowing that she is ineligible to vote is guilty of a felony. Minn. Stat. § 201.014.

ANSWER: Defendant refers to the cited statutes and denies the allegations to the extent they are inconsistent with the statutes.

69. A felony in Minnesota is punishable by imprisonment for up to five years, a fine of up to \$10,000, or both. Minn. Stat. § 609.03.

ANSWER: Defendant refers to the cited statutes and denies the allegations to the extent they are inconsistent with the statutes.

70. In the unlikely event that a fraudulent absentee ballot were submitted despite the harsh criminal penalties for doing so, that ballot would not automatically be counted, but would be subjected to extensive verification procedures along with all other absentee ballots.

ANSWER: Defendant refers to the relevant rules and statutes and denies the allegations to the extent they are inconsistent with the rules and statutes.

71. Local ballot boards composed of trained officials are responsible for determining whether to accept or reject each absentee ballot. Minn. Stat. § 203B.121; Minn. Stat. § 204B.45, subd. 2. Each absentee ballot must be examined by at least two ballot board members who, subject to limited exceptions, must belong to different major political parties. Minn. Stat. § 203B.121, subd. 2; Minn. Stat. § 204B.45, subd. 2; Minn. R. 8210.2450, subp. 1. Before being accepted for counting, the majority of the ballot board members examining each absentee ballot must determine that the ballot satisfies six independent criteria, five of which have nothing to do with the witness statement. Minn. Stat. § 203B.121, subd. 2.

ANSWER: Defendant refers to the cited rules and statutes and denies the allegations to the extent they are inconsistent with the rules and statutes.

72. First, “[t]he voter’s name and address on the absentee ballot application must match the voter’s name and address on the signature envelope.” Minn. R. 8210.2450, subp. 2; *see also* Minn. Stat. § 203B.121, subd. 2(b)(1).

ANSWER: Defendant refers to the cited rules and statutes and denies the allegations to the extent they are inconsistent with the rules and statutes.

73. Second, the ballot board members must determine that “the voter signed the certification on the envelope.” Minn. Stat. § 203B.121, subd. 2(b)(2). “A ballot must be rejected. . . on the basis of the signature if the name signed is clearly a different name than the name of the voter as printed on the signature envelope.” Minn. R. 8210.2450, subp. 2.

ANSWER: Defendant refers to the cited rules and statutes and denies the allegations to the extent they are inconsistent with the rules and statutes.

74. Third, the ballot board members check whether “the voter’s Minnesota driver’s license, state identification number, or the last four digits of the voter’s Social Security number are the same as a number on the voter’s absentee ballot application or voter record.” If so, the ballot may move on to the next verification step; if not, the ballot board members “must compare the signature provided by the applicant to determine whether the ballots were returned by the same person to whom they were transmitted.” Minn. Stat. § 203B.121, subd. 2(b)(3); *see also* Minn. R. 8210.2450, subp. 3.

ANSWER: Defendant refers to the cited rules and statutes and denies the allegations to the extent they are inconsistent with the rules and statutes.

75. Fourth, the ballot board members verify that “the voter is registered and eligible to vote in the precinct or has included a properly completed voter registration application in the return envelope.” Minn. Stat. § 203B.121, subd. 2(b)(4); *see also* Minn. R. 8210.2450, subp. 4.

ANSWER: Defendant refers to the cited rules and statutes and denies the allegations to the extent they are inconsistent with the rules and statutes.

76. Fifth, the ballot board members check that “the certificate has been completed as prescribed in the directions for casting an absentee ballot.” Minn. Stat. § 203B.121, subd. 2(b)(5). This is the only one of the six verification steps that depends on the presence of a witness signature. The witness requirement is deemed satisfied if the witness has signed the required statement and done any one of the following: provided a Minnesota address, provided a title indicating that the witness is eligible to administer oaths, or affixed a notarial stamp. Minn. R. 8210.2450, subp. 5.

ANSWER: Defendant refers to the cited rules and statutes and denies the allegations to the extent they are inconsistent with the rules and statutes.

77. Importantly, the ballot board does not check to determine whether the witness’s name or address matches an existing voter registration record for purposes of determining whether the ballot in question should be accepted or rejected. *See id.*

ANSWER: Defendant refers to the cited rules and statutes and denies the allegations to the extent they are inconsistent with the rules and statutes.

78. Sixth, it must be confirmed that “the voter has not already voted at that election, either in person or, if it is after the close of business on the seventh day before the election, by absentee ballot.” Minn. Stat. § 203B.121, subd. 2(b)(6); *see also* Minn. R. 8210.2450, subp. 6.

ANSWER: Defendant refers to the cited rules and statutes and denies the allegations to the extent they are inconsistent with the rules and statutes.

79. If the majority of ballot board members examining a ballot determine that it fails to satisfy any of these six criteria, the ballot is rejected. Minn. Stat. § 203B.121, subd. 2(c)(1).

ANSWER: Defendant refers to the cited rules statute and denies the allegations to the extent they are inconsistent with the statute.

80. Given the rarity of absentee voting fraud, the harsh criminal penalties for committing such fraud, and the existence of numerous verification steps that are at least as likely—if not more so—to ferret out fraud, it is highly unlikely that any pattern of voter fraud would result if the witness requirement were suspended for the 2020 elections. This modest accommodation for the COVID-19 pandemic would not cause the Minnesota electorate to lose confidence in the integrity of the absentee voting system.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies

*Minnesota’s Restrictive Qualifications For Absentee
Ballot Witnesses Are Burdensome and Needless*

81. Beyond the particular burdens posed by the COVID-19 crisis, Minnesota’s

witness signature requirement—limiting the pool of qualified witnesses to registered Minnesota voters, notaries, and other individuals authorized to administer oaths—is unduly restrictive.

ANSWER: Deny.

82. Among the twelve states that require a witness for absentee voting, Minnesota is the only one that permits its own registered voters to serve as witnesses but generally prohibits other adults from playing that role. Eight of the twelve states allow any adult to witness an absentee ballot, sometimes subject to narrow exceptions such as prohibitions on candidates serving as witnesses.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

83. By requiring that a witness be registered to vote in Minnesota (if not a notary or other person authorized to administer oaths), the state excludes many groups of potential witnesses who are not categorically any less trustworthy than registered Minnesota voters: for example, lawful permanent residents and other non-U.S. citizens; military personnel who are stationed in Minnesota but vote elsewhere; residents of other states; and those who simply exercise their constitutional right not to vote.

ANSWER: To the extent the allegations contain legal conclusions, no response is required.

84. U.S. citizenship is not required to become a notary public. By allowing notaries to witness absentee ballots, Minnesota repudiates any notion that individuals

cannot be trusted as witnesses if they do not meet the eligibility criteria for registering to vote in Minnesota (e.g., if they are non-U.S. citizens).

ANSWER: Defendant admits the first sentence and denies the second sentence.

85. Minnesota's law generally excluding non-Minnesota voters from serving as absentee ballot witnesses does not serve any important state interest. Indeed, in verifying absentee ballots, local ballot boards are not even permitted to reject a ballot on the ground that the witness's name, address, or signature fails to match a name, address, or signature contained in the witness's voter registration record. *See* Minn. R. 8210.2450, subp. 5.

ANSWER: Defendant denies the first sentence. For the second sentence, Defendant refers to the cited rule and denies the allegation to the extent it is consistent with the rule.

86. In other words, the ballot-verification process already is effectively indifferent to whether an absentee ballot is witnessed by a registered Minnesota voter. Yet, the state saddles voters with the burden of seeking out a registered Minnesota voter, or else finding a notary or other person authorized to administer oaths.

ANSWER: Deny.

87. Finding another registered voter who is both willing and qualified to serve as a witness is a significant burden for many Minnesota voters. This includes voters who do not live with another registered Minnesota voter—including those who live alone or live in mixed immigration status households—and are practicing social distancing during the COVID-19 pandemic. It also includes voters who temporarily live away from Minnesota, such as students attending college out of state. Indeed, a major reason voters

choose to cast absentee ballots is because they are out of the state on Election Day. For those voters, this requirement is very burdensome.

ANSWER: Deny.

88. In past election cycles, Minnesotans living out of state have resorted to posting on social media, seeking to meet strangers in their vicinity who are fellow Minnesota voters and can witness absentee ballots.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

89. For voters who cannot find another Minnesota voter to witness an absentee ballot, the option to seek out a notary or other person authorized to administer oaths is also burdensome. Notaries typically charge for their services. Moreover, simply finding a notary or other person authorized to administer oaths may be difficult, especially in certain rural areas. This difficulty is only exacerbated by the COVID-19 pandemic.

ANSWER: Defendant admits that the COVID-19 pandemic exacerbates any difficulties finding a witness. Defendant otherwise lacks sufficient information or knowledge to admit or deny the allegations about notaries, and therefore denies the allegations.

90. Even before the pandemic, the requirement to have each absentee ballot witnessed by a registered Minnesota voter, a notary, or another person authorized to administer oaths has prevented a significant number of Minnesotans from casting absentee ballots and having those ballots counted. In the 2018 election, 1,336 ballots were rejected in Minnesota for failure to comply with the state's witness requirement,

according to data from the Election Administration and Voting Survey conducted by the U.S. Election Assistance Commission. This number is likely dwarfed by the unknown number of Minnesotans who never returned absentee ballots in 2018 because it was not reasonably possible for them to find a qualified witness.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

91. Numerous members of LWVMN—including some who live or have lived temporarily outside of Minnesota—have had trouble finding witnesses for their absentee ballots because of Minnesota’s restrictions on who may serve as a witness.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

92. During the COVID-19 pandemic, the number of LWVMN voters experiencing this burden—and the magnitude of the burden on each such voter—will substantially increase. LWVMN expects that it will need to divert resources away from its core activities to educate voters about the qualifications for witnesses, and to help voters connect with qualified witnesses to the extent possible.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

CAUSES OF ACTION

Count I: Undue Burden on the Right to Vote in Violation of the First and Fourteenth Amendments As Applied to Elections During the COVID-19 Pandemic (42 U.S.C. § 1983)

93. Plaintiffs repeat and reallege paragraphs 1 through 92.

ANSWER: Defendant repeats his previous answers.

94. The constitutional right to vote “is of the most fundamental significance under our constitutional structure.” *Burdick v. Takushi*, 504 U.S. 428, 433 (1992). When analyzing the constitutionality of a restriction on voting, the Court “must weigh ‘the character and magnitude of the asserted injury to the rights protected by the First and Fourteenth Amendments that the plaintiff seeks to vindicate’ against ‘the precise interests put forward by the State as justifications for the burden imposed by its rule,’ taking into consideration ‘the extent to which those interests make it necessary to burden the plaintiff’s rights.’” *Id.* at 434 (quoting *Anderson v. Celebrezze*, 460 U.S. 780, 789 (1983)).

ANSWER: Defendant refers to the cited case law and denies any allegations inconsistent with the case law.

95. As applied to elections conducted during the pendency of the coronavirus pandemic, including those in August and November 2020, Minnesota’s witness requirement for absentee voting constitutes a severe burden on the right to vote because it forces voters to choose between exercising the franchise and violating social distancing guidelines, thus exposing themselves, their families, and their communities to a heightened risk of COVID-19.

ANSWER: Defendant denies the allegation because it requires him to speculate about guidelines and conditions several months from now.

96. Minnesota has no interest sufficient to justify maintaining the witness requirement for the 2020 elections. Although preventing fraud and the appearance of fraud is a legitimate state interest, that interest can be fully vindicated without the witness requirement. Absentee voting fraud is rare, in Minnesota and throughout the United States. Minnesota already has robust safeguards unrelated to the witness requirement, including a multi-step ballot verification process that includes matching personally identifying numbers and other information and also imposes steep criminal penalties for fraud. Any interest the state has in its witness signature requirement is too slight to justify the severe burden imposed by applying the requirement during the COVID-19 pandemic.

ANSWER: Defendant admits that absentee voting fraud is rare and that Minnesota has a multi-step process that safeguards against fraud. The remaining allegations are legal conclusions for which no response is required.

97. The witness requirement therefore constitutes an unconstitutional burden on the right to vote under the First and Fourteenth Amendments, as applied to voters for the duration of the pandemic, including during Minnesota's August 2020 primary and the November 2020 general election.

ANSWER: The allegations are legal conclusions for which no response is required.

**Count II: Undue Burden on the Right to Vote
Through Unduly Restrictive Witness Qualifications
In Violation of the First and Fourteenth Amendments
(42 U.S.C. § 1983)**

98. Plaintiffs repeat and reallege Paragraphs 1 through 97.

ANSWER: Defendant repeats the previous answers.

99. Under Minnesota law, only a registered Minnesota voter, a notary public, or another individual authorized to administer oaths may serve as a witness for an absentee ballot.

ANSWER: Defendant refers to the relevant statute and denies the allegation to the extent it is inconsistent with the statute.

100. Regardless of the public health conditions, these restrictions on who may serve as a witness impose a significant—and in some cases severe—burden on Minnesota voters. Especially for Minnesotans who temporarily live out of state, it can be difficult or impossible to find another registered Minnesota voter who is willing and able to serve as a ballot witness.

ANSWER: Defendant lacks sufficient information or knowledge to admit or deny, and therefore denies.

101. If a voter fails to find another registered Minnesota voter, she must seek out a notary or other individual authorized to administer oaths. It may be difficult or impossible to find such an individual. Moreover, if the only witness available is a notary, the voter will ordinarily need to pay a fee to have her Signature Envelope notarized.

ANSWER: Defendant refers to Minn. Stat. § 203B.07, which establishes the witness requirement, and denies the allegations to the extent they are inconsistent with the statute.

102. Minnesota derives no appreciable benefit from excluding from the pool of potential witnesses all adults who are not registered Minnesota voters, notaries, or persons authorized to give oaths. Minnesota registered voters are not categorically more trustworthy than other competent adults.

ANSWER: Defendant denies the first sentence and admits the second.

103. Minn. Stat. § 203B.07 and Minn. Stat. § 204B.45, together with their implementing regulations, therefore impose an undue burden on the right to vote and violate the First and Fourteenth Amendments, insofar as they prevent Minnesota voters from having their absentee ballots witnessed by competent adults who are not registered Minnesota voters, notaries, or individuals authorized to administer oaths.

ANSWER: Deny.

**Count III: Denial of Equal Protection
On Account of Citizenship Status in
Violation of the Fourteenth Amendment
(42 U.S.C. § 1983)**

104. Plaintiffs repeat and reallege Paragraphs 1 through 103.

ANSWER: Defendant repeats his previous answers.

105. Minnesota's qualifications for absentee ballot witnesses discriminate between U.S. citizens and otherwise similarly situated non-U.S. citizens. A U.S. citizen may register to vote in Minnesota (assuming she meets certain other qualifications),

Minn. Const. art. VII, § 1, and then may witness absentee ballots. A non-U.S. citizen cannot register to vote, *id.*, and therefore cannot witness absentee ballots unless she becomes a notary or otherwise becomes authorized to administer oaths.

ANSWER: Defendant denies the first sentence. As to the second and third sentences, Defendant refers to the relevant laws for registering to vote and denies the allegations to the extent they are inconsistent with those laws.

106. Under the Equal Protection Clause of the Fourteenth Amendment, a state law that discriminates against non-U.S. citizens generally “can be sustained only if it can withstand strict judicial scrutiny. In order to withstand strict scrutiny, the law must advance a compelling state interest by the least restrictive means available.” *Bernal v. Fainter*, 467 U.S. 216, 219 (1984). This general rule is subject to only “a narrow exception”: strict scrutiny does not apply when a state bars non-U.S. citizens from serving in “positions intimately related to the process of democratic self-government.” *Id.* at 220. To determine whether this exception applies, the Court must focus its inquiry on whether the position in question is “such that the officeholder would necessarily exercise broad discretionary power over the formulation or execution of public policies importantly affecting the citizen population—power of the sort that a self-governing community could properly entrust only to full-fledged members of that community.” *Id.* at 224.

ANSWER: Defendant refers to the cited case law and denies the allegations to the extent they are inconsistent with the case law.

107. Serving as a witness for an absentee ballot is not a position intimately related to democratic self-governance. It is a “clerical and ministerial” function. *Id.* at 225. The Court therefore must apply strict scrutiny to Minnesota’s requirement that a witness, if not a notary or otherwise authorized to administer oaths, be a registered Minnesota voter and thus a U.S. citizen.

ANSWER: Deny.

108. This citizenship requirement burdens individuals living in mixed immigration status households and individuals living in immigrant communities overall.

ANSWER: Deny.

109. This citizenship requirement does not serve any compelling state interest.

ANSWER: Deny.

110. To the extent the citizenship requirement for witnesses (besides notaries and other persons authorized to administer oaths) serves any state interest, it is not the least restrictive means to achieve such interest.

ANSWER: Deny.

111. Indeed, the citizenship requirement fails even the rational-basis test. There is no rational reason why Minnesota registered voters are more trustworthy witnesses than other competent adults. Moreover, the verification system for absentee ballots does not even verify that the witness is a Minnesota registered voter. This only further belies the lack of state interest in imposing this requirement.

ANSWER: Deny.

112. Minn. Stat. § 203B.07 and Minn. Stat. § 204B.45, together with their implementing regulations, therefore facially violate the Fourteenth Amendment, insofar as they discriminate between U.S. citizens and non-U.S. citizens for purposes of determining who may serve as a witness.

ANSWER: Deny.

AFFIRMATIVE DEFENSES

1. Plaintiffs have failed to state a claim.
2. Defendant was not the cause in fact of any of Plaintiffs' alleged deprivations.
3. To the extent Plaintiffs seek relief other than injunctive or declaratory relief, the claims are barred because Defendant has immunity from damages and Plaintiffs cannot state a claim for damages against Defendant.
4. Defendant reserves the right to supplement or amend his affirmative defenses as the case progresses.

Dated: June 9, 2020

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