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Attorneys for Plaintiffs

ELIZABETH AIDA HASKELL and REGINALD ENTO,
 on behalf of themselves and others similarly situated

UNITED STATES DISTRICT COURT
 FOR THE NORTHERN DISTRICT OF CALIFORNIA

ELIZABETH AIDA HASKELL and
 REGINALD ENTO, on behalf of
 themselves and others similarly situated,

Plaintiffs,

vs.

EDMUND G. BROWN, JR., Attorney
 General of California; EVA
 STEINBERGER, Assistant Bureau Chief
 for DNA Programs, California Department
 of Justice; and MICHAEL HENNESSEY,
 Sheriff, San Francisco County,

Defendants.

COMPLAINT FOR DECLARATORY AND
 INJUNCTIVE RELIEF

CLASS ACTION

1 Plaintiffs, for their Complaint in this action, allege and aver as follows:

2 **INTRODUCTION**

3 1. This is a facial challenge to a California statute that requires people who
4 are arrested for any felony to provide DNA samples to be analyzed and included in a criminal
5 database, without a warrant or any judicial oversight. Plaintiffs Elizabeth (“Lily”) Aida Haskell
6 and Reginald Ento request declaratory and injunctive relief on behalf of themselves and others
7 similarly situated on the grounds that this law, California Penal Code § 296(a)(2)(C), violates
8 their rights under the Fourth and Fourteenth Amendments to the United States Constitution.

9 2. As of January 1, 2009, California law mandates the compulsory extraction,
10 collection, analysis, storage, and dissemination for expressly law enforcement purposes of the
11 DNA of “any adult person arrested or charged with any felony offense.” Cal. Penal Code
12 § 296(a)(2)(C) (hereinafter “§ 296(a)(2)(C)”)¹. This will result in the mandatory DNA sampling
13 from hundreds of thousands of individuals in California who have not been, and in many cases
14 never will be, convicted of any crime, and without any judicial oversight because collection
15 occurs “immediately after arrest.” § 296.1(a)(1)(A).

16 3. This law, enacted in 2004 as part of Proposition 69, dramatically expanded
17 the scope of mandatory, suspicionless, and warrantless seizure and testing of DNA in California.
18 As a result, California now has the largest criminal DNA databank of any of the 50 states, and
19 one of the most expansive programs for the compulsory collection, retention, and sharing of DNA
20 data in existence *anywhere*. Indeed, California law enforcement officials have described
21 California’s program as “[t]he third largest DNA database in the world.”

22 4. Under this statutory scheme, not only is an arrestee’s body tissue seized for
23 the purpose of constructing the arrestee’s DNA profile – part of an individual’s genetic blueprint
24 – but the State also stores the arrestee’s body tissue forever so that it can conduct additional DNA
25 analysis of it in the future. Although persons who are not ultimately convicted may apply for a
26 court order to have their biological samples destroyed and their DNA profiles expunged from the
27 database, the procedures for trying to obtain this relief are inadequate. First, the procedures do

28 ¹ All statutory references are to the California Penal Code unless otherwise indicated.

not allow for timely relief. People, like the Plaintiffs in this case, who were arrested but not charged must wait until the statute of limitations has run before they can even apply for expungement. § 299(b)(1). This means a delay of at least three years and, in some cases, much longer. §§ 799-801. Then, after they have requested relief, innocent arrestees will face an additional delay of at least six months before the court can authorize expungement. § 299(c)(2)(D). Even then, any expungement petition is subject to additional procedural barriers, including a right of veto by the prosecuting attorney. Finally, the court has the unreviewable discretion to deny such relief even to persons who have been found to be factually innocent of the crime for which they were arrested. § 299(b), (c).

5. Section 296(a)(2)(C) mandates the seizure of and broad publication to local, state, and national law enforcement agencies of DNA profiles from large numbers of persons as to whom the state has no more legitimate interest in such information than it has for any other law-abiding member of the public. The compulsory testing requirement applies to persons arrested – but not even charged, much less convicted – of many offenses for which their DNA has no conceivable relevance, such as the use of unauthorized signatures in a campaign advertisement, writing checks with insufficient funds, accepting a bribe to throw a sporting event, racing a horse under a fictitious name, counterfeiting railroad tickets, or simple possession of a controlled substance, including such minor ones as codeine. *See* Cal. Penal Code §§ 115.1, 337c, 337f, 476(c), 481; Cal. Health & Safety Code § 11350. Others subject to compulsory extraction of their DNA pursuant to § 296(a)(2)(C) include:

- persons who are arrested but never charged with a felony;
- persons who are arrested and charged with a felony, but whose charges are quickly dropped in the recognition that they are innocent;
- persons who are arrested for a felony and are acquitted at trial and determined by the court to be factually innocent of the crime under Penal Code § 851.8(e);
- persons who are arrested as a result of mistaken identity, including victims of identity theft;

- persons, such as victims of domestic violence, who are arrested for violence committed in self-defense and who either have the charges against them dropped or are subsequently acquitted;
- participants in political demonstrations and other activities who have committed no crime but are nonetheless arrested in connection with broad sweeps of participants by the police;
- persons who are wrongfully arrested due to police misconduct;
- persons who are arrested for cultivation of marijuana but against whom charges are dropped or dismissed upon a showing that they were in lawful possession of the marijuana for medical purposes;
- persons who have been arrested for drug possession, complete a pretrial diversion program pursuant to § 1000 *et seq.*, and thereafter have their charges dismissed;
- persons who have been arrested for felony drug offenses and who, following successful completion of treatment programs, have had their convictions expunged in compliance with Proposition 36 or other state laws; and
- persons who are arrested for minor offenses that can be, but rarely are, charged as felonies, even when they are ultimately charged with nothing more than misdemeanors pursuant to § 17(b) (California's so-called "wobbler" statute). This can include, for example, offenses such as second-time shoplifting, or even entering a store with the intent to shoplift with no prior criminal history.

6. Overall, approximately one-third of the hundreds of thousands of persons arrested for felony offenses in California in any given year are ultimately never convicted of any crime whatsoever. Many others are convicted of nothing more than a misdemeanor. According to the California Department of Justice, of the approximately 332,000 people arrested for felonies in California in 2007, more than 101,000 were not convicted of any crime. Another

1 approximately 13,500 of these arrestees received only a fine or jail time without probation,
2 indicating that they were convicted only of misdemeanors. The statistics for the previous several
3 years are similar – since 1998, the number of persons arrested by California authorities on
4 suspicion of a felony has ranged from a low of 267,512 in 2000 to a high of 345,415 in 2004.
5 There is no reason to think that these numbers will drop below this range over the next several
6 years.

7 7. The expansive mandatory DNA testing established by § 296(a)(2)(C)
8 violates the Fourth and Fourteenth Amendments of the United States Constitution. The statute
9 not only permits, but *requires*, broad and ongoing violations of the fundamental protections
10 afforded all persons by the United States Constitution to be secure in their persons from
11 unreasonable searches and seizures and to due process of law. Ignoring the clear limitations
12 recognized by the courts in upholding carefully-circumscribed DNA testing programs for persons
13 *convicted* of felony offenses, § 296(a)(2)(C) reaches broadly to mandate testing of hundreds of
14 thousands of people who are legally presumed innocent (and in a large proportion of cases
15 actually are innocent) of any crime at all. No justification has been identified for this program
16 beyond the generalized law enforcement interest in solving crimes other than the one for which
17 the individual was arrested. But the United States Constitution permits this kind of law
18 enforcement interest to be pursued only in the presence of reasonable individualized suspicion
19 and (absent unusual circumstances) issuance of a warrant on probable cause. § 296(a)(2)(C) is
20 accordingly unconstitutional.

21 8. This action is brought on behalf of persons who are required to provide a
22 DNA sample pursuant to § 296(a)(2)(C) solely as a result of being arrested for a felony. Plaintiffs
23 do not challenge the other provisions of Cal. Penal Code §§ 295 *et seq.*, which require
24 compulsory DNA testing for persons who are actually convicted of a criminal offense. Nor do
25 Plaintiffs challenge the lawful collection and analysis of DNA samples pursuant to a valid
26 warrant or other sufficient court order requiring DNA testing in connection with a specific
27 criminal investigation or proceeding as to which the requisite probable cause is shown connecting
28 the person to be tested with a particular criminal offense. Rather, Plaintiffs challenge the mass,

1 programmatic DNA testing of hundreds of thousands of persons – persons not convicted or who
 2 are otherwise not under supervision of the criminal justice system – as to whom the long-
 3 recognized Constitutional prerequisites to such searches and seizures have been established.

4 JURISDICTION AND VENUE

5 9. This action arises under 42 U.S.C. § 1983 and the United States
 6 Constitution. This Court has subject-matter jurisdiction under 28 U.S.C. §§ 1331 and 1343.

7 10. The Defendants are all public officials of the State of California or its
 8 political subdivisions. Each of the Defendants resides within this District and/or performs official
 9 duties within the State of California. This Court, accordingly, has personal jurisdiction over each
 10 of the Defendants.

11 11. Venue properly lies within this District under 28 U.S.C. § 1391(b). One or
 12 more of the named Defendants perform their official duties in this District, and a substantial part
 13 of the events or omissions giving rise to Plaintiffs' claims have occurred or will occur in this
 14 District.

15 INTRADISTRICT ASSIGNMENT

16 12. Pursuant to Local Rule 3-2, this action may properly be assigned to the San
 17 Francisco or Oakland divisions of this Court.

18 THE PARTIES

19 Plaintiffs

20 13. Plaintiff Elizabeth ("Lily") Aida Haskell is an individual residing in
 21 Oakland, California. On March 21, 2009, Ms. Haskell was arrested at a peace rally against the
 22 War on Iraq in San Francisco's Civic Center. Ms. Haskell was arrested under Cal. Penal Code §
 23 405a (taking a person from police custody, a felony under § 405b) and § 148 (obstructing or
 24 delaying a peace officer, a misdemeanor) when she allegedly tried to free another protestor who
 25 had been taken into custody. After arrest, Ms. Haskell was taken to a San Francisco jail, where
 26 San Francisco Sheriff's Department personnel demanded a DNA sample. Two sheriff's deputies
 27 told Ms. Haskell that she would be charged with a separate misdemeanor if she did not provide a
 28 DNA sample by swabbing the inside of her cheek. Ms. Haskell asked about access to a lawyer

1 before providing the sample. One of the deputies told Ms. Haskell that she could talk to a lawyer
2 but that she would still be charged with a separate misdemeanor for not immediately providing a
3 DNA sample. She was also told that she would not be released from jail until after arraignment if
4 she did not provide a DNA sample on the spot and without advice from a lawyer.

5 14. No charges were ever filed against Ms. Haskell based on this arrest. No
6 law enforcement entity or personnel obtained any sort of warrant to take her DNA.

7 15. On information and belief, Defendants have analyzed or will soon analyze
8 Ms. Haskell's DNA sample, and her DNA profile has been, or shortly will be, uploaded into
9 CODIS. On information and belief, there is no other legal basis for the seizure, analysis, or
10 retention of Ms. Haskell's DNA sample other than her arrest under Penal Code § 405a by the San
11 Francisco County police as alleged herein.

12 16. Plaintiff Reginald Ento is an individual residing in Sacramento, California.
13 On or about January 1, 2009, Mr. Ento was arrested by local law enforcement officers, for alleged
14 receipt of stolen property (Cal. Penal Code § 496), specifically, outdated film cameras marked
15 "Property of U.S. Forest Service." This offense may be treated either as a misdemeanor or as a
16 felony at the prosecutor's or court's discretion. *Id.* § 17(a), (b). After he was arrested, Mr. Ento
17 was detained at the Sacramento County Jail. While incarcerated, a sheriff's deputy collected a
18 DNA sample from Mr. Ento by inserting a swab into his mouth and scraping the inside of his
19 cheek. Mr. Ento did not consent to this collection of his DNA. In fact, the deputy indicated that
20 if necessary, the DNA sample would be collected from Mr. Ento by force.

21 17. No charges were ever filed against Mr. Ento based on this arrest. No law
22 enforcement entity or personnel obtained a warrant to take Mr. Ento's DNA.

23 18. On information and belief, Defendants have analyzed or will soon analyze
24 Mr. Ento's DNA sample, and his DNA profile is, or shortly will be, uploaded into CODIS. On
25 information and belief, there is no other legal basis for the seizure, analysis, or retention of Mr.
26 Ento's DNA sample other than his arrest under Penal Code § 496 by the Sacramento County
27 police as alleged herein.

19. None of the Plaintiffs is currently incarcerated or subject to any form of probation, parole, or other supervised release. Upon information and belief, none of the Plaintiffs is currently the subject of a valid warrant or court order for the seizure of his or her DNA based upon a finding of probable cause or any reasonable suspicion linking him or her to any crime for which such DNA would be relevant evidence. Nor does any other ground currently exist (apart from § 296(a)(2)(C)) on which any Plaintiff may be compelled to submit a sample of his or her body tissue for DNA testing and use for law enforcement purposes.

Defendants

20. All Defendants are sued in their official capacities only.

21. Defendant Edmund G. Brown, Jr. is the Attorney General of the State of California. Under Article 5, Section 13 of the California State Constitution, he is the “chief law officer of the State,” with a duty “to see that the laws of the state are uniformly and adequately enforced.” This provision further grants him “direct supervision over every district attorney and sheriff and over such other law enforcement officers as may be designated by law.” As Attorney General, defendant Brown also supervises the operations of the California Department of Justice. Cal. Gov. Code § 12510. The California Department of Justice is directly responsible for implementing § 296(a)(2)(C) and the state’s DNA database and for ensuring that specimens are collected from arrestees. Cal. Penal Code § 295(g)-(h). To fulfill this responsibility, the Department of Justice has issued a number of administrative bulletins that govern local law-enforcement collection of DNA samples from arrestees, as authorized by Penal Code § 295(h). Therefore, all California law enforcement personnel who collect DNA from arrestees pursuant to § 296(a)(2)(C) do so as agents of and in active participation with defendant Brown.

22. Defendant Eva Steinberger is the Assistant Bureau Chief of Forensic Sciences and current director of the California Department of Justice Jan Bashinski DNA Laboratory in Richmond, in Contra Costa County, California. This laboratory analyzes, stores, and compares the DNA samples collected pursuant to § 296(a)(2)(C), as mandated by statute. *Id.* §§ 295(k), 295.1(c). On information and belief, Steinberger has, in her capacity as director, responsibility for supervising functions of the Department of Justice and the California

1 Department of Justice Laboratory in implementing § 296(a)(2)(C), including, *inter alia*, providing
 2 procedural guidance, equipment, and materials to local law enforcement agencies for the seizure
 3 of body tissue; receiving, maintaining, storing, and analyzing biological samples; contracting with
 4 third parties to assist with DNA analysis; and maintaining databases and databanks of analysis
 5 results and other information.

6 23. Defendant Michael Hennessey is the Sheriff of San Francisco County. Cal.
 7 Penal Code §§ 295 *et seq.* vests in this defendant, and the other law enforcement officers of San
 8 Francisco County whom he supervises, the responsibility for the search and seizure of body tissue
 9 from felony arrestees pursuant to § 296(a)(2)(C).

10 CLASS ACTION ALLEGATIONS

11 Plaintiff Class

12 24. Plaintiffs bring this action as a class action pursuant to Fed. R. Civ. P.
 13 23(b)(2) or, alternatively, Fed. R. Civ. P. 23(b)(1), on their own behalf and on behalf of all other
 14 persons who are, or will be, compelled to submit to the search and seizure of their body tissue and
 15 DNA under § 296(a)(2)(C) solely by reason of the fact that they have been arrested for, or
 16 charged with, a felony offense (the "Plaintiff Class").

17 25. The Plaintiff Class includes hundreds of thousands of people who are
 18 arrested every year in California on suspicion of a felony. It is so numerous that joinder is
 19 impracticable.

20 26. The claims of Plaintiff Class members share common issues of law,
 21 including but not limited to:

- 22 a. Whether the compulsory sampling, analysis, and retention of the DNA of
- 23 members of the Plaintiff Class pursuant to § 296(a)(2)(C) violates the
- 24 Fourth Amendment to the United States Constitution;
- 25 b. Whether the compulsory sampling, analysis, and retention of the DNA of
- 26 members of the Plaintiff Class, and the dissemination of DNA profiles and
- 27 other information derived from those samples, pursuant to § 296(a)(2)(C)
- 28 constitutes an excessive government intrusion into the Plaintiff Class

members' private and personal genetic information, in that it is neither supported by a legitimate state purpose outweighing individual privacy interests nor narrowly tailored to meet any legitimate state purpose, thus violating the Plaintiff Class members' right to privacy under the Due Process Clause of the Fourteenth Amendment of the United States Constitution; and

- c. Whether the compulsory sampling, analysis, and retention of the DNA of members of the Plaintiff Class pursuant to § 296(a)(2)(C) without a requirement of any pre-testing due process protections or the mandatory expungement of data and samples from persons against whom charges are dropped, who are acquitted, whose convictions are reversed, or who are otherwise adjudicated to be innocent, violates the Due Process Clause of the Fourteenth Amendment to the United States Constitution.

27. The claims of Plaintiff Class members share common issues of fact, including but not limited to:

- a. The adverse effects that innocent arrestees will suffer from having their DNA analyzed and placed in a criminal databank, including but not limited to an increased danger of future arrest, of wrongful arrest and conviction, and an invasion of their genetic privacy;
- b. The amount of personal information that the government may be able to obtain through an analysis of an arrestee's DNA (either at the point of initial analysis or any time thereafter by accessing the stored biological sample) using current technology and in light of reasonably-projected scientific advances;
- c. The extent to which the specific portions of the DNA molecule targeted for analysis by California contain private information about the individual arrestee, including information about familial relationships; and
- d. Whether collection of DNA from arrestees, on the mere fact of arrest for a

1 felony, will exacerbate the already disproportionate representation of racial
2 minorities in the California criminal justice system, undermine the
3 legitimacy of that system, and contribute to the harmful notion that
4 criminality has a basis in biology.

5 28. The claims or defenses of the named Plaintiffs are typical of the claims of
6 members of the Plaintiff Class.

7 29. The named Plaintiffs will fairly and adequately protect the interests of the
8 Plaintiff Class. The named Plaintiffs have no interest that is now or may be potentially
9 antagonistic to the interests of the class. The attorneys representing the Plaintiffs include
10 experienced civil rights attorneys and are considered able practitioners in federal constitutional
11 litigation. These attorneys should be appointed as class counsel.

12 30. Defendants have threatened to act and will continue to act on grounds
13 generally applicable to the Plaintiff Class, thereby making final injunctive and declaratory relief
14 appropriate to the class as a whole. The Plaintiff Class may therefore be properly certified
15 pursuant to Fed. R. Civ. P. 23(b)(2).

16 31. Prosecution of separate actions by individual members of the Plaintiff
17 Class would create the risk of inconsistent or varying adjudications and would establish
18 incompatible standards of conduct for individual members of the Plaintiff Class. The Plaintiff
19 Class may therefore be properly certified, alternatively, under Fed. R. Civ. P. 23(b)(1).

20 **THE MATTERS IN CONTROVERSY**

21 **The Development and Sharing of DNA Databases**

22 32. Before the passage of Proposition 69 in 2004, California law provided for
23 mandatory DNA testing of persons who had been convicted of certain serious felony offenses and
24 the inclusion of data derived from those tests in a state-wide database. Only persons who had
25 actually been convicted of one of the enumerated felonies were subject to this compulsory testing
26 program, and even in those cases the law provided important due process protections to those
27 whose DNA was taken. For example, the pre-Proposition 69 California statute provided for the
28 automatic expungement of the physical DNA sample and associated database entries for any

1 person whose conviction was reversed. Before Proposition 69, California law enforcement also
2 had authority to conduct one-time searches (called "keyboard searches") using a DNA sample
3 obtained with a warrant or the subject's consent, whereby the DNA profile could be searched
4 against the forensic DNA profiles in CODIS. However, this authority did not allow law
5 enforcement to permanently upload the subject's DNA profile into the database.

6 33. California routinely shares DNA profiles and other information gathered
7 through its DNA testing programs with law enforcement agencies elsewhere. California
8 participates in the nationwide Combined DNA Index System database ("CODIS"). CODIS is a
9 nationwide program, supervised by the Federal Bureau of Investigation, that automatically shares
10 the contents of every participating jurisdiction's, including California's, DNA Databases with law
11 enforcement throughout the nation. As relevant to this case, CODIS contains a forensic database,
12 containing profiles of DNA recovered from crime scenes; an offender database, containing DNA
13 profiles of persons who have been convicted of certain crimes; and a more recent addition, an
14 arrestee database, containing DNA profiles of people merely arrested for certain crimes. As of
15 2008, the CODIS database contains over 6.5 million offender profiles, including data derived
16 from state and federal DNA sampling programs, and not including the vast number of arrestee
17 profiles that will be added pursuant to § 296(a)(2)(C). Information in the CODIS database is
18 widely available to law enforcement agencies throughout the United States, to international law
19 enforcement agencies such as Interpol, and to the national law enforcement agencies of other
20 countries. Until recently, the federal CODIS system permitted only DNA data taken from
21 persons actually convicted of a felony to be included in the offender database; CODIS now
22 permits, however, inclusion of arrestee DNA profiles as well.

23 34. The sharing of DNA profiles and other information gathered through DNA
24 testing is not limited to the CODIS system. Law enforcement agencies in California are also
25 authorized to share DNA profiles and other information with one another, and with law
26 enforcement agencies in other states, through cross-references of individual state and local
27 databases.

28

35. The purpose of the collection and sharing of data in CODIS and other criminal DNA databases is to provide DNA profiles that can be used to match against DNA found in crime-scene samples, in order to identify suspects in those crimes. The mandatory collection of DNA from arrestees in California pursuant to § 296(a)(2)(C) is intended to provide law enforcement with broad access to otherwise unavailable information about those individuals that might link them to offenses other than those for which they have been arrested.

Proposition 69 and Penal Code §§ 295 *et seq.*

36. Proposition 69, a copy of which is attached as Exhibit A, was approved by the California electorate in the November 2, 2004 general election, in accordance with Article II, Section 8 of the California Constitution.

37. Proposition 69 amended Cal. Penal Code Sections 295 *et seq.* The amended statutes include several important new provisions that dramatically expand the reach of the State's mandatory testing regime, while at the same time eliminating key due-process protections that had previously existed.

38. The declared purpose of the searches and seizures required by Proposition 69 is to further law enforcement goals:

Law enforcement should be able to use the DNA Database and Data Bank Program to substantially reduce the number of unsolved crimes; to help stop serial crime by quickly comparing DNA profiles of qualifying persons and evidence samples with as many investigations and cases as necessary to solve crime and apprehend perpetrators; to exonerate persons wrongly suspected or accused of crime; and to identify human remains.

Proposition 69 § II(c). Proposition 69 further asserts that expanded DNA testing is "[t]he most reasonable and certain means" of enhancing the law enforcement interests in identifying and apprehending criminal offenders. *Id.* §§ II(d)(1)-(4).

39. Proposition 69 requires that, as of "January 1 of the fifth year following" its enactment, any adult arrested for any felony must submit to DNA sampling." *Id.* § III(3), codified as Penal Code § 296(a)(2)(A). In Information Bulletin No. 04-BFS-03 dated March 15, 2005, the California Department of Justice confirmed that "[b]eginning in 2009, all adults

1 arrested for any felony offense on or after January 1, 2009” are subject to mandatory search and
2 seizure of their body tissue for purposes of DNA analysis as set forth in § 296(a)(2)(C).

3 40. The Department has directed that “DNA collection from arrestees should
4 occur during the booking process or as soon as possible after the arrest and before the subject is
5 released from confinement or custody.” Cal. DoJ Info. Bulletin No. 08-BFS-02 at 2 (citing Penal
6 Code § 296.1(a)(1)(A)). Law enforcement is to check the arrestee’s criminal history information
7 in the state and local databases before taking a sample to see whether the arrestee has already
8 provided a DNA sample, in order to prevent the taking of duplicate samples. *Id.* If the
9 Department already has “suitable DNA sample and print impressions” on file for that arrestee, a
10 new sample will not be taken. *Id.*

11 41. Cal. Penal Code §§ 295 *et seq.* establishes a presumption that body tissue
12 samples will be taken through the “buccal swab” method, by which inner cheek cells are scraped
13 from the inside of the mouth. Body tissue may be taken, however, through other methods (*e.g.*,
14 blood sampling) at the direction of the California Department of Justice. *Id.* § 295(e). The
15 Department of Justice is directed to provide appropriate equipment, materials, and instructions to
16 each facility at which body tissue is to be seized.

17 42. Refusal to submit to sampling is a crime, punishable by one year in jail and
18 a fine. *Id.* § 298.1(a). In addition, law enforcement is authorized to physically force a person to
19 give a sample. *Id.* § 298(b).

20 43. Once taken, the arrestee’s body tissue is forwarded to the Jan Bashinski
21 DNA Laboratory of the Department of Justice (the “Bashinski Lab”). The Bashinski Lab then
22 arranges for the DNA analysis to be performed, either by its own personnel or through third-party
23 contractors. After the analysis is completed, the results are entered into the state’s DNA Database
24 and shared freely with other law enforcement agencies nationally and internationally, including
25 (but not limited to) through the CODIS system.

26 44. Cal. Penal Code §§ 295 *et seq.* does not effectively limit the types of
27 genetic information to be collected and maintained from the biological sample and does not
28 require destruction of the underlying sample after the DNA profile has been created and uploaded

1 into the database. Instead, the body tissue sample is retained indefinitely for further analysis.
2 Thus, the statute allows the state to maintain *all* genetic information that can be derived from an
3 arrestee's DNA.

4 45. As amended by Proposition 69, California law fails to provide for the
5 automatic expungement of data and samples taken from persons whose convictions are
6 overturned. Nor is there any provision for automatic expungement of samples taken from persons
7 who are arrested but never charged, persons against whom charges are dropped, persons who are
8 acquitted, or even persons who are found by a court to be factually innocent of the offense for
9 which they were arrested. Instead, any person who wants to have his or her sample expunged
10 must file a petition for such expungement in the court of the county where the arrest occurred as
11 described below.

12 46. Arrestees who were never charged with an offense – such as Ms. Haskell
13 and Mr. Ento – must wait a minimum of three years before requesting expungement. Such people
14 can only file a request for expungement if “no accusatory pleading has been filed within the
15 applicable period allowed by law charging the person with a qualifying offense as set forth in
16 subdivision (a) of Section 296.” § 299(b)(1). This “applicable period allowed by law” is the
17 statute of limitations, which ranges from a minimum of three years for even the least serious
18 felony to a maximum of life for embezzlement of public money or for any offense that carries a
19 life sentence. §§ 799-801. During this waiting period, the person's profile will remain in the
20 DNA database and be searched repeatedly. Because CODIS conducts a routine, weekly search of
21 DNA offender/arrestee profiles against profiles generated from crime scene samples (in addition
22 to any additional searches as needed), the person will have their DNA profile searched on a
23 weekly basis, at minimum, during this three-year waiting period.

24 47. In addition, no expungement petition may be granted until at least 180 days
25 following the filing of the petition, and no limits are imposed on the use of the petitioner's DNA
26 data or dissemination of that data to other law enforcement agencies during that 180-day period.
27 And even if the petition for expungement is granted, no mechanism is provided for the return of
28

1 the petitioner's DNA sample, profile, or other information from other law enforcement agencies
2 with whom it has been shared.

3 48. Even after these lengthy waiting periods, the court cannot grant a petition if
4 either the prosecuting attorney or the Department of Justice has objected to it; thus, the law allows
5 the prosecuting attorney and Department of Justice, or either of them, to block expungement
6 merely by filing an objection. *See* § 299(c)(2)(d). In addition, the law expressly provides that
7 "[t]he court has the discretion to grant or deny the request for expungement," without setting forth
8 any factors that should guide the court's discretion. § 299(c)(1). Furthermore, "denial of a
9 request for expungement is a nonappealable order and shall not be reviewed by petition for writ."
10 § 299(c)(1). This means not only that arrestees whose requests for expungement are denied have
11 no recourse, but also that California appellate courts will have no opportunity to make decisional
12 law to guide the trial courts' discretion. Thus, the court's ability to deny such a petition is
13 completely standardless and unreviewable.

14 49. Finally, the statute allows the Department of Justice to refuse to destroy a
15 sample even after it has received the requisite court order for destruction, if the Department
16 determines that it has additional grounds to maintain the sample. § 299(d). The law does not
17 provide for any sort of challenge or appeal if the Department refuses to destroy a sample after
18 receiving a court order.

19 50. When a sample is analyzed and the resulting profile is put into CODIS, that
20 profile is then regularly and automatically accessed, searched, and compared with millions of
21 other DNA profiles in the CODIS system. In general, these searches occur weekly. Thus, even if
22 an arrestee is eventually successful in getting her sample destroyed and profile expunged, during
23 the delays discussed above that profile will have been accessed multiple times.

24 51. On information and belief, the CODIS database, like most government
25 computer databases, is regularly backed-up. On information and belief, there is no procedure for
26 removing arrestee profiles from these backup copies of the database.

27 52. Cal. Penal Code §§ 295 *et seq.* allows for the sharing of DNA profiles
28 and/or the underlying biological samples with a broad array of international, federal, state and

1 local law enforcement officers, including private crime laboratories and other third parties that
2 have been approved by the Department of Justice. Therefore, the procedural and substantive
3 barriers to expungement, including those alleged herein, are inadequate to bring § 296(a)(2)(C)
4 within the constitutional boundaries of the Fourth and Fourteenth Amendments to the United
5 States Constitution because the Plaintiff Class will suffer continuing injury due to the presence of
6 class members' DNA profile in DNA databases before any prerequisites to expungement can be
7 satisfied.

8 53. The Department of Justice publishes regular statistical reports on the
9 number of samples being collected and processed at the Bashinski Lab. The reports show that the
10 state is collecting tens of thousands of new DNA samples per month under authority of Penal
11 Code §§ 295 *et seq.* The 2009 reports that have been published so far consistently show that the
12 backlog of untested DNA samples continues to grow as more samples are received by the
13 laboratory than are analyzed and uploaded into CODIS.

14 54. For example, the Department's report for the first quarter of 2009 shows
15 that between January 1 and March 31, the lab uploaded 58,534 profiles to CODIS, an average of
16 19,511 samples per month. During this same three-month period, the lab received 73,797
17 samples, meaning that the backlog of samples that had been received but not yet analyzed and
18 uploaded into CODIS grew by 15,263. The Department reports that as of March 31, 2009, this
19 backlog included 49,982 samples.

20 55. Similarly, the Department reports that in April 2009 the lab's backlog grew
21 by an additional 3,608 samples, to 53,590. During this period, the lab uploaded 25,579 profiles to
22 CODIS, while receiving 29,287. Thus, the lab's ending backlog totaled more than twice the
23 number of samples that it was able to analyze and upload into CODIS during the month of April,
24 and more than 2.5 times the average monthly number of samples it was able to analyze and
25 upload during the first quarter of 2009.

26 56. On information and belief based on these figures, the average time between
27 the lab's receipt of an arrestee sample and the eventual uploading to CODIS of the profile
28 associated with that sample is more than two months.

The Nature Of DNA Testing And The Impact On Plaintiffs

57. Under Cal. Penal Code §§ 295 *et seq.*, the body tissue seized from Plaintiffs and others will be processed, or has already been processed, to extract samples of their DNA, which are then analyzed to generate DNA profiles. DNA (an abbreviation for “deoxyribonucleic acid”) is the cellular material that contains each person’s unique genetic code.

58. The DNA profiles that are currently stored in law enforcement databases are sometimes referred to as “DNA fingerprints.” This is a misnomer, for although fingerprints and DNA resemble each other in that each is unique for each individual person, the seizure, banking, and analysis of DNA samples differs fundamentally from the mere taking of a fingerprint.

59. Fingerprinting involves the creation of an image or impression of the external physical conformation of the fingertips, and a fingerprint reveals nothing more about the person than the unique patterns on the skin of his or her fingers. Thus, while fingerprints can be used effectively to provide evidence of the identity of a person, they reveal no other information about that person.

60. DNA, in contrast, is a microscopic arrangement of chemical constituents within the nucleus of a human cell that make up an individual’s genetic blueprint. DNA analysis can reveal a vast array of highly private information, including familial relationships, ethnic traits and other physical characteristics, genetic defects, and propensity to certain diseases, such as sickle-cell anemia, Down syndrome, and certain types of cancers. The amount of information about a person that can be revealed by DNA is expanding every year. Some scientists have suggested that DNA analysis can be used to predict personality traits, propensity for antisocial behavior, and sexual orientation, as well as an ever-expanding variety of existing and future health conditions and other physical traits.

61. Already, state and federal officials have issued policy memoranda authorizing the use of state and federal DNA databases for so-called familial searching – more accurately known as partial-match searching – where the database is used to locate a person who does not match the crime-scene sample but whose DNA is *similar* to that left at the scene, in the

1 hope that the near-match may be a family member of the perpetrator. Thus, rather than using the
2 database to identify the culprit, it is used to single-out an individual who is demonstrably innocent
3 of the crime – because the crime scene DNA does not match his – in the hope that investigating
4 this innocent person will provide a clue to the identity of the actual culprit. This represents an
5 unreasonable intrusion into the private life of an individual who has not even been accused of a
6 crime but who may or may not be related to the perpetrator. A DNA specialist with the California
7 Department of Justice acknowledged this in a 2007 internal memorandum analyzing the
8 desirability of allowing for familial searching. That memorandum provided that “a policy of
9 disclosing partial database matches would shift the delicate Fourth Amendment balance that
10 courts have struck in holding DNA database programs constitutional by diluting the state interest
11 in the expeditious and accurate nature of the DNA database while weakening the disclosure
12 restrictions that minimize invasions of privacy” and that a “policy permitting the reporting of
13 arrestee names for the purpose of investigating potential relatives, even before those arrestees
14 have been convicted (or not convicted and consequently expunged) could be viewed as an
15 overreaching application of the Database. In turn, this may impair DOJ’s arguments in support of
16 the Fourth Amendment reasonableness of arrestee collections in the first instance.”

17 62. Due to the expansive nature of the information that may be gleaned from
18 an individual’s DNA, the compulsory seizure of biological material from Plaintiffs for the
19 purpose of constructing Plaintiffs’ DNA profiles provides law enforcement personnel and other
20 government officials with direct access to the most fundamentally private personal information
21 that any person possesses. Such seizure invades a location – the genetic code locked within each
22 person’s cells – in which, absent unusual circumstances, the average person has the very highest
23 possible expectation of privacy.

24 63. Arrestee testing, if allowed to go forward unfettered, will serve to worsen
25 the race-based inequities present in our criminal-justice system. Racial minorities are already
26 disproportionately represented in California’s criminal justice system, particularly at the arrestee
27 level. Mandatory arrestee DNA collection means that anybody who is arrested for a felony will
28 forever have his DNA included in the CODIS database, unless he is successfully able to navigate

1 the cumbersome expungement process. He will therefore face a lifetime of what some
 2 researchers have called genetic surveillance, with his DNA continually being compared against
 3 DNA taken from crime scenes throughout the nation. With the increased use of so-called familial
 4 searching, his family will also be included in this surveillance. This will further increase the
 5 impact of arrestee testing on people of color and their communities.

6 **Declaratory Relief Under 28 U.S.C. §§ 2201, 2202**

7 64. Plaintiffs reallege and incorporate here the allegations in Paragraphs 1-63,
 8 above, as though fully set forth.

9 65. There exists an actual, present and justiciable controversy between
 10 Plaintiffs and Defendants concerning their rights and duties with respect to Defendants' conduct
 11 described herein. Plaintiffs contend that Defendants violated, and continue to violate, Plaintiffs'
 12 rights under the constitutions and laws of the United States, specifically that, on its face and as
 13 applied to them and to others in the Plaintiff Class, § 296(a)(2)(C) is unlawful and
 14 unconstitutional. On information and belief, Defendants deny that their conduct violated, or
 15 continues to violate, Plaintiffs' rights under the constitutions and laws of the United States.
 16 Plaintiffs fear that they are now and will again be subjected to such unlawful and unconstitutional
 17 actions, and seek a judicial declaration that Defendants' conduct deprived, and continues to
 18 deprive, Plaintiffs of their rights under the constitution and laws of the United States.

19 66. This controversy is ripe for judicial decision, and declaratory relief is
 20 necessary and appropriate so that the parties may know the legal obligations that govern their
 21 present and future conduct.

22 **COUNT I: VIOLATION OF THE FOURTH AMENDMENT TO**
 23 **THE UNITED STATES CONSTITUTION (42 U.S.C. § 1983)**

24 67. Plaintiffs hereby reallege and incorporate by reference the allegations
 25 contained in Paragraphs 1-66 as though fully set forth herein.

26 68. The Fourth Amendment to the United States Constitution protects the right
 27 of people to be free from unreasonable searches and seizures and prohibits searches or seizures
 28

1 for law enforcement purposes absent a warrant or a recognized exception to the warrant
2 requirement.

3 69. Plaintiffs have a reasonable expectation that their bodily integrity and their
4 genetic privacy will be respected.

5 70. Section 296(a)(2)(C) mandates the compulsory seizure and search of
6 biological material from Plaintiffs and other members of the Plaintiff Class, and the DNA
7 analysis of that biological material for generalized law enforcement purposes, without a warrant
8 or any required showing of probable cause or individualized suspicion linking the person at issue
9 to any specific crime for which his or her DNA is relevant.

10 71. The involuntary extraction of biological material from the Plaintiff Class
11 for purposes of DNA analysis pursuant to § 296(a)(2)(C) constitutes an unreasonable search and
12 seizure under the Fourth Amendment to the United States Constitution.

13 72. Every analysis of these biological samples by government personnel serves
14 to reveal information about the person whose DNA is being examined and therefore constitutes a
15 further and independent search (and violation) of the Fourth Amendment beyond that occasioned
16 by the initial extraction of the biological sample.

17 73. The compulsory and warrantless search and seizure of biological material
18 from Plaintiffs and members of the Plaintiff Class is inherently unreasonable within the meaning
19 of the Fourth Amendment and is not supported by any recognized exception to the Fourth
20 Amendment's warrant and probable cause requirements. The subsequent DNA analysis and
21 storage of such biological material further constitutes an unreasonable search and seizure within
22 the meaning of the Fourth Amendment and is also not justified by any recognized exception to
23 the warrant and probable cause requirements. On its face and as applied to the Plaintiff Class, §
24 296(a)(2)(C) is accordingly unconstitutional.

25 ///

26 ///

27 ///

28 ///

COUNT II: VIOLATION OF SUBSTANTIVE DUE PROCESS
UNDER THE FOURTEENTH AMENDMENT TO THE UNITED STATES
CONSTITUTION (42 U.S.C. § 1983)

74. Plaintiffs hereby reallege and incorporate by reference the allegations contained in Paragraphs 1-73 as though fully set forth herein.

75. The Due Process Clause of the Fourteenth Amendment to the United States Constitution provides a substantive right to privacy with respect to personal matters such as medical and genetic information.

76. Because § 296(a)(2)(C) requires government intrusion into private and personal genetic information of Plaintiffs by procuring, analyzing, indefinitely retaining, and disseminating their biological samples and DNA information, the Fourteenth Amendment to the United States Constitution requires that the intrusion be supported by a legitimate governmental purpose that outweighs Plaintiffs' privacy interests and that the intrusion be narrowly tailored to meet that legitimate purpose.

77. California's interest in maintaining biological samples and DNA profiles from arrestees does not outweigh Plaintiffs' privacy interests with respect to their genetic material; nor is Cal. Penal Code §§ 295 *et seq.*, including § 296(a)(2)(C), narrowly tailored to further the state's interest. Accordingly, § 296(a)(2)(C), on its face and as applied to the Plaintiff Class, violates their rights to privacy and substantive due process under the Fourteenth Amendment to the United States Constitution.

COUNT III: VIOLATION OF PROCEDURAL DUE PROCESS
UNDER THE FOURTEENTH AMENDMENT TO THE
UNITED STATES CONSTITUTION (42 U.S.C. § 1983)

78. Plaintiffs hereby reallege and incorporate by reference the allegations contained in Paragraphs 1-77 as though fully set forth herein.

79. The Due Process Clause of the Fourteenth Amendment to the United States Constitution prohibits the deprivation of life, liberty or property without due process of law.

1 compulsory search, seizure, collection, analysis, and/or retention of
2 biological samples from any member of the Plaintiff Class made
3 pursuant to California Penal Code § 296(a)(2)(C) until and unless
4 he or she is actually convicted of a felony offense, unless such
5 search, seizure, collection, analysis, and/or retention is supported by
6 a warrant issued on probable cause;

7 b) enjoining Defendants, including all of defendant Brown's officers,
8 agents, servants, employees, attorneys, and other persons in active
9 concert or participation with defendant Brown, from assisting any
10 other state or local law enforcement official or third party in
11 searching, seizing, collecting, analyzing, or retaining biological
12 samples, or DNA data obtained from such samples, from any
13 member of the Plaintiff Class made pursuant § 296(a)(2)(C) until
14 and unless he or she is actually convicted of a felony offense, unless
15 such search, seizure, collection, analysis, and/or retention is
16 supported by a warrant issued on probable cause; and

17 c) ordering Defendants, including all of defendant Brown's officers,
18 agents, servants, employees, attorneys, and other persons in active
19 concert or participation with defendant Brown, to promptly destroy
20 any biological samples obtained from members of the Plaintiff
21 Class pursuant § 296(a)(2)(C) that are in their possession or that
22 have been, or in the future are, forwarded to the Department of
23 Justice (or any entity with which it has contracted), and to expunge
24 all associated DNA profiles and other data from all state and local
25 databases and other files, unless the submitting law enforcement
26 agency has certified that the sample was taken either (i) following
27 conviction for a felony offense or (ii) pursuant to a warrant issued
28

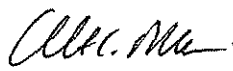
1 upon probable cause or other court order issued under authority
2 other than § 296(a)(2)(C).

3 3. Costs and attorneys fees incurred in this action pursuant to 42 U.S.C.
4 §1988 and other applicable authority.

5 4. Such other and further relief as may be just and proper.
6

7 DATED: October 7, 2009

PAUL, HASTINGS, JANOFSKY & WALKER LLP

8
9 By: 
10 Peter C. Meier
11

12 AMERICAN CIVIL LIBERTIES UNION
13 FOUNDATION OF NORTHERN CALIFORNIA, INC.

14 By: 
15 Michael T. Risher
16

17 Attorneys for Plaintiffs
18 ELIZABETH AIDA HASKELL and REGINALD ENTO,
19 on behalf of themselves and others similarly situated
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CERTIFICATION OF INTERESTED ENTITIES OR PERSONS

Pursuant to Civil L.R. 3-16, the undersigned certifies that as of this date, other than the named parties, there is no such interest to report.

DATED: October 7, 2009

PAUL, HASTINGS, JANOFSKY & WALKER LLP

By: 
Peter C. Meier

Attorneys for Plaintiffs
ELIZABETH AIDA HASKELL and REGINALD ENTO,
on behalf of themselves and others similarly situated

LEGAL_US_W # 62859554.1

EXHIBIT A

Proposition 68 (cont.)

SEC. 8.3. (a) Funds appropriated pursuant to the Gaming Revenue Act of 2004 shall not be deemed to be part of "total allocations to school districts and community college districts from General Fund proceeds of taxes appropriated pursuant to Article XIII B" as that term is used in paragraphs (2) and (3) of subdivision (b) of Section 8.

(b) Revenues derived from payments made pursuant to the Gaming Revenue Act of 2004 shall not be deemed to be "General Fund revenues," which may be appropriated pursuant to Article XIII B" as that term is used in paragraph (1) of subdivision (b) of Section 8 nor shall they be considered in the determination of "per capita General Fund revenues" as that term is used in paragraph (3) of subdivision (b) and in subdivision (e) of Section 8.

SEC. 14. Section 14 is added to Article XIII B of the California Constitution, to read:

SEC. 14. (a) For purposes of this article, "proceeds of taxes" shall not include the revenues created by the Gaming Revenue Act of 2004.

(b) For purposes of this article, "appropriations subject to limitation" of each entity of government shall not include appropriations of revenues from the Gaming Revenue Trust Fund created by the Gaming Revenue Act of 2004.

SEC. 15. Amendment

The statutory provisions of this act may be amended only by a vote of two-thirds of the membership of both houses of the Legislature. All statutory amendments to this act shall be to further the act and must be consistent with its purposes.

SEC. 16. Consistency With Other Ballot Measures

The provisions of this act are not in conflict with any initiative measure that appears on the same ballot that amends the California Constitution to authorize gaming of any kind. In the event that this act and another measure that amends the California Constitution to permit gaming of any kind are adopted at the same election, the courts are

hereby directed to reconcile their respective statutory provisions to the greatest extent possible and to give effect to every provision of both measures.

SEC. 17. Additional Funding

No moneys in the Gaming Revenue Trust Fund shall be used to supplant federal, state, or local funds used for child protective and foster care services, neighborhood sheriffs and police officers, and firefighters but shall be used exclusively to supplement the total amount of federal, state, and local funds allocated for child protective services and foster care which improve the educational outcomes of abused and neglected children and children in foster care and for additional sheriffs, police officers, and firefighters.

SEC. 18. Judicial Proceedings

In any action for declaratory or injunctive relief, or for relief by way of any extraordinary writ, wherein the construction, application, or validity of Section 3 of this act or any part thereof is called into question, a court shall not grant any temporary restraining order, preliminary or permanent injunction, or any peremptory writ of mandate, certiorari, or prohibition, or other provisional or permanent order to restrain, stay, or otherwise interfere with the operation of the act except upon a finding by the court, based on clear and convincing evidence, that the public interest will not be prejudiced thereby, and no such order shall be effective for more than 15 calendar days. A court shall not restrain any part of this act except the specific provisions that are challenged.

SEC. 19. Severability

If any provision of this act or the application thereof to any person or circumstances is held invalid or unconstitutional, such invalidity or unconstitutionality shall not affect other provisions or applications of this act that can be given effect without the invalid or unconstitutional provision or application, and to this end the provisions of this act are severable.

Proposition 69

This initiative measure is submitted to the people in accordance with the provisions of Section 8 of Article II of the California Constitution.

This initiative measure amends a section of the Government Code, and amends, repeals, and adds sections to the Penal Code; therefore, existing provisions proposed to be deleted are printed in ~~strikeout type~~ and new provisions proposed to be added are printed in *italic type* to indicate that they are new.

PROPOSED LAW

SECTION I. Title

(a) This measure shall be known and referred to as the DNA Fingerprint, Unsolved Crime and Innocence Protection Act.

SEC. II. Findings and Declarations of Purpose

The people of the State of California do hereby find and declare that:

(a) Our communities have a compelling interest in protecting themselves from crime.

(b) There is critical and urgent need to provide law enforcement officers and agencies with the latest scientific technology available for accurately and expeditiously identifying, apprehending, arresting, and convicting criminal offenders and exonerating persons wrongly suspected or accused of crime.

(c) Law enforcement should be able to use the DNA Database and Data Bank Program to substantially reduce the number of unsolved crimes; to help stop serial crime by quickly comparing DNA profiles of qualifying persons and evidence samples with as many investigations and cases as necessary to solve crime and apprehend perpetrators; to exonerate persons wrongly suspected or accused of crime; and to identify human remains.

(d) Expanding the statewide DNA Database and Data Bank Program is:

(1) The most reasonable and certain means to accomplish effective crime solving in California, to aid in the identification of missing and unidentified persons, and to exonerate persons wrongly suspected or

accused of crime;

(2) The most reasonable and certain means to solve crime as effectively as other states which have found that the majority of violent criminals have nonviolent criminal prior convictions, and that the majority of cold hits and criminal investigation links are missed if a DNA database or data bank is limited only to violent crimes;

(3) The most reasonable and certain means to rapidly and substantially increase the number of cold hits and criminal investigation links so that serial crime offenders may be identified, apprehended and convicted for crimes they committed in the past and prevented from committing future crimes that would jeopardize public safety and devastate lives; and

(4) The most reasonable and certain means to ensure that California's Database and Data Bank Program is fully compatible with, and a meaningful part of, the nationwide Combined DNA Index System (CODIS).

(e) The state has a compelling interest in the accurate identification of criminal offenders, and DNA testing at the earliest stages of criminal proceedings for felony offenses will help thwart criminal perpetrators from concealing their identities and thus prevent time-consuming and expensive investigations of innocent persons.

(f) The state has a compelling interest in the accurate identification of criminal offenders, and it is reasonable to expect qualifying offenders to provide forensic DNA samples for the limited identification purposes set forth in this chapter.

(g) Expanding the statewide DNA Database and Data Bank Program is the most reasonable and certain means to ensure that persons wrongly suspected or accused of crime are quickly exonerated so that they may reestablish their standing in the community. Moreover, a person whose sample has been collected for Database and Data Bank purposes must be able to seek expungement of his or her profile from the Database and Data Bank.

SEC. III. DNA and Forensic Identification Database and Data Bank Act

Proposition 69 (cont.)

SEC. 1. Section 295 of the Penal Code is amended to read:

295. (a) This chapter shall be known and may be cited as the DNA and Forensic Identification ~~Data Base Database~~ and Data Bank Act of 1998, as amended.

(b) The ~~Legislature finds and declares~~ people of the State of California set forth all of the following:

(1) Deoxyribonucleic acid (DNA) and forensic identification analysis is a useful law enforcement tool for identifying and prosecuting ~~sexual and violent offenders~~ criminal offenders and exonerating the innocent.

(2) It is the intent of the ~~Legislature~~ people of the State of California, in order to further the purposes of this chapter, to require DNA and forensic identification ~~database~~ data bank samples from all persons, including juveniles, for the felony and misdemeanor offenses described in subdivision (a) of Section 296.

(3) It is necessary to enact this act defining and governing the state's DNA and forensic identification database and ~~database~~ data bank in order to clarify existing law and to enable the state's DNA and ~~forensic identification database and database program~~ Forensic Identification Database and Data Bank Program to become a more effective law enforcement tool.

(c) The purpose of the DNA and ~~forensic identification database~~ Forensic Identification Database and Data Bank Program is to assist federal, state, and local criminal justice and law enforcement agencies within and outside California in the expeditious and accurate detection and prosecution of individuals responsible for sex offenses and other ~~violent~~ crimes, the exclusion of suspects who are being investigated for these crimes, and the identification of missing and unidentified persons, particularly abducted children.

(d) Like the collection of fingerprints, the collection of DNA samples pursuant to this chapter is an administrative requirement to assist in the accurate identification of criminal offenders.

(e) Unless otherwise requested by the Department of Justice, collection of biological samples for DNA analysis from qualifying persons under this chapter is limited to collection of inner cheek cells of the mouth (buccal swab samples).

(f) The Department of Justice DNA Laboratory may obtain through federal, state, or local law enforcement agencies blood specimens from qualifying persons as defined in subdivision (a) of Section 296, and according to procedures set forth in Section 298, when it is determined in the discretion of the Department of Justice that such specimens are necessary in a particular case or would aid the department in obtaining an accurate forensic DNA profile for identification purposes.

~~(d)~~ (g) The Department of Justice, through its DNA Laboratory, shall be responsible for the management and administration of the state's ~~DNA database and database identification program~~ DNA and Forensic Identification Database and Data Bank Program and for liaison with the Federal Bureau of Investigation (FBI) regarding the state's participation in a national or international DNA database and data bank program such as the FBI's Combined DNA Index System (CODIS) that allows the storage and exchange of DNA records submitted by state and local forensic DNA laboratories nationwide.

~~(e)~~ (h) The Department of Justice shall be responsible for implementing this chapter.

(1) The Department of Justice DNA Laboratory, the Department of Corrections, the Board of Corrections, and the Department of the Youth Authority ~~shall~~ may adopt policies and enact regulations for the implementation of this chapter, as necessary, to give effect to the intent and purpose of this chapter, and to ensure that ~~database~~ data bank blood specimens, ~~saliva~~ buccal swab samples, and thumb and palm print impressions as required by this chapter are collected from qualifying ~~offenders~~ persons in a timely manner, as soon as possible after arrest, conviction, or a plea or finding of guilty, no contest, or not guilty by reason of insanity, or upon ~~the~~ any disposition rendered in the case of a juvenile who is ~~adjudged a ward of the court~~ adjudicated under Section 602 of the Welfare and Institutions Code for commission of any of this chapter's enumerated qualifying offenses, including attempts, or when it is determined that a qualifying ~~offender~~ person has not given the required specimens, samples or print impressions. ~~The~~ Before adopting any policy or regulation implementing this chapter, the Department of Corrections, the Board of Corrections, and the Department of the Youth Authority shall ~~adopt the policies and regulations for implementing this chapter with seek advice from and in consultation~~ consult with the Department of Justice DNA Laboratory Director.

(2) Given the specificity of this chapter, and except as provided in subdivision (c) of Section 298.1, any administrative bulletins, notices, regulations, policies, procedures, or guidelines adopted by the Department of Justice and its DNA Laboratory, the Department of Corrections, the Department of the Youth Authority, or the Board of Corrections for the purpose of the implementing this chapter are exempt from the provisions of the Administrative Procedure Act, Chapter 3.5 (commencing with Section 11340), Chapter 4 (commencing with Section 11370), Chapter 4.5 (commencing with Section 11400), and Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code.

(3) The Department of Corrections, the Board of Corrections, and the Department of the Youth Authority shall submit copies of any of their policies and regulations with respect to this chapter to the Department of Justice DNA Laboratory Director, and ~~periodically~~ quarterly shall submit to the director written reports updating the director as to the status of their compliance with this chapter.

(4) On or before April 1 in the year following adoption of the act that added this paragraph, and quarterly thereafter, the Department of Justice DNA Laboratory shall submit a quarterly report to be published electronically on a Department of Justice website and made available for public review. The quarterly report shall state the total number of samples received, the number of samples received from the Department of Corrections, the number of samples fully analyzed for inclusion in the CODIS database, and the number of profiles uploaded into the CODIS database for the reporting period. Each quarterly report shall state the total, annual, and quarterly number of qualifying profiles in the Department of Justice DNA Laboratory data bank both from persons and case evidence, and the number of hits and investigations aided, as reported to the National DNA Index System. The quarterly report shall also confirm the laboratory's accreditation status and participation in CODIS and shall include an accounting of the funds collected, expended, and disbursed pursuant to subdivision (k).

(5) On or before April 1 in the year following adoption of the act that added this paragraph, and quarterly thereafter, the Department of Corrections shall submit a quarterly report to be published electronically on a Department of Corrections website and made available for public review. The quarterly report shall state the total number of inmates housed in state correctional facilities, including a breakdown of those housed in state prisons, camps, community correctional facilities, and other facilities such as prisoner mother facilities. Each quarterly report shall also state the total, annual, and quarterly number of inmates who have yet to provide specimens, samples and print impressions pursuant to this chapter and the number of specimens, samples and print impressions that have yet to be forwarded to the Department of Justice DNA Laboratory within 30 days of collection.

~~(d)~~ (i) (1) When the specimens, samples, and print impressions required by this chapter are collected at a county jail or other county ~~detention~~ facility, including a private community correctional facility, the county sheriff or chief administrative officer of the county jail or other ~~detention~~ facility shall be responsible for ensuring all of the following:

(A) The requisite specimens, samples, and print impressions are collected from qualifying ~~offenders~~ persons immediately following arrest, conviction, or adjudication, or during the booking or intake or reception center process at that facility, or reasonably promptly thereafter.

(B) The requisite specimens, samples, and print impressions are collected as soon as administratively practicable after a qualifying ~~offender~~ person reports to the facility for the purpose of providing specimens, samples, and print impressions.

(C) The specimens, samples, and print impressions collected pursuant to this chapter are forwarded immediately to the Department of Justice, and in compliance with department policies.

(2) The specimens, samples, and print impressions required by this chapter shall be collected by a person using a collection kit approved by the Department of Justice and in accordance with the requirements and procedures set forth in subdivision (b) of Section 298.

(3) The counties shall be reimbursed for the costs of obtaining specimens, samples, and print impressions subject to the conditions and limitations set forth by the Department of Justice policies governing reimbursement for collecting specimens, samples, and print impressions pursuant to this chapter.

(j) The trial court may order that a portion of the costs assessed pursuant to Section 1203.1c, 1203.1e, or 1203.1m include a reason-

Proposition 69 (cont.)

able portion of the cost of obtaining specimens, samples, and print impressions in furtherance of this chapter and the funds collected pursuant to this subdivision shall be deposited in the Department of Justice DNA Testing Fund as created by paragraph (2) of subdivision (b) of Section 290.3.

(~~g~~) (k) Any funds appropriated by the Legislature to implement this chapter, including funds or costs ordered pursuant to subdivision (j) to reimburse counties, shall be deposited into the Department of Justice DNA Testing Fund as created by paragraph (2) of subdivision (b) of Section 290.3.

(~~h~~) (l) The Department of Justice DNA Laboratory shall be known as the Jan Bashinski DNA Laboratory.

SEC. 2. Section 295.1 of the Penal Code is amended to read:

295.1. (a) The Department of Justice shall perform DNA analysis and other forensic identification analysis pursuant to this chapter only for identification purposes.

(b) The Department of Justice Bureau of Criminal Identification and Information shall perform examinations of palm prints pursuant to this chapter only for identification purposes.

(c) The DNA Laboratory of the Department of Justice shall serve as a repository for blood specimens and ~~saliva~~ buccal swab and other biological samples collected, and shall analyze specimens and samples, and store, compile, correlate, compare, maintain, and use DNA and forensic identification profiles and records related to the following:

(1) Forensic casework and forensic unknowns.

(2) Known and evidentiary specimens and samples from crime scenes or criminal investigations.

(3) Missing or unidentified persons.

(4) ~~Offenders~~ Persons required to provide specimens, samples, and print impressions under this chapter.

(5) Legally obtained samples.

(~~5~~) (6) Anonymous DNA records used for training, research, statistical analysis of populations, quality assurance, or quality control.

(d) The computerized data bank and database of the DNA Laboratory of the Department of Justice shall include files as necessary to implement this chapter.

(e) Nothing in this section shall be construed as requiring the Department of Justice to provide specimens or samples for quality control or other purposes to those who request specimens or samples.

(f) Submission of samples, specimens, or profiles for the state DNA Database and Data Bank Program shall include information as required by the Department of Justice for ensuring search capabilities and compliance with National DNA Index System (NDIS) standards.

SEC. 3. Section 296 of the Penal Code is amended to read:

296. (a) The following persons shall provide buccal swab samples, right thumbprints, and a full palm print impression of each hand, and any blood specimens or other biological samples required pursuant to this chapter for law enforcement identification analysis:

(~~a~~) (i) Any person, including any juvenile, who is convicted of or pleads guilty or no contest to any felony offense ~~any of the following crimes~~, or is found not guilty by reason of insanity of any of the following crimes, felony offense, or any juvenile who is adjudicated under Section 602 of the Welfare and Institutions Code for committing any felony offense, shall, regardless of sentence imposed or disposition rendered, be required to provide two specimens of blood, a saliva sample, right thumbprints, and a full palm print impression of each hand for law enforcement identification analysis:

(A) Any offense or attempt to commit any felony offense described in Section 290, or any felony offense that imposes upon a person the duty to register in California as a sex offender under Section 290.

(B) Murder in violation of Section 187, 190, 190.05, or any degree of murder as set forth in Chapter 1 (commencing with Section 187) of Title 8 of Part 1 of the Penal Code, or any attempt to commit murder.

(C) Voluntary manslaughter in violation of Section 192 or an attempt to commit voluntary manslaughter.

(D) Felony spousal abuse in violation of Section 273.5.

(E) Aggravated sexual assault of a child in violation of Section 269.

(F) A felony offense of assault or battery in violation of Section 217.1, 220, 241.1, 242, 243.1, 243.3, 243.4, 243.7, 244, 245, 245.2, 245.3, or 245.5.

(G) Kidnapping in violation of subdivisions (a) to (e), inclusive, of Section 207, or Section 208, 209, 209.5, or 210, or an attempt to commit any of these offenses.

(H) Mayhem in violation of Section 202 or aggravated mayhem in violation of Section 205, or an attempt to commit either of these offenses.

(I) Torture in violation of Section 206 or an attempt to commit torture.

(J) Burglary as defined in subdivision (a) of Section 460 or an attempt to commit this offense.

(K) Robbery as defined in subdivision (a) or (b) of Section 212.5 or an attempt to commit either of these offenses.

(L) Arson in violation of subdivision (a) or (b) of Section 451 or an attempt to commit either of these offenses.

(M) Carjacking in violation of Section 215 or an attempt to commit this offense.

(N) Terrorist activity in violation of Section 11418 or 11419, or a felony violation of Section 11418.5, or an attempt to commit any of these offenses.

(2) Any adult person who is arrested for or charged with any of the following felony offenses:

(A) Any felony offense specified in Section 290 or attempt to commit any felony offense described in Section 290, or any felony offense that imposes upon a person the duty to register in California as a sex offender under Section 290.

(B) Murder or voluntary manslaughter or any attempt to commit murder or voluntary manslaughter.

(C) Commencing on January 1 of the fifth year following enactment of the act that added this subparagraph, as amended, any adult person arrested or charged with any felony offense.

(~~2~~) (3) Any person, including any juvenile, who is required to register under Section 290 or 457.1 because of the commission of, or the attempt to commit, a felony or misdemeanor offense specified in Section 290, or any person, including any juvenile, who is housed in a mental health facility or sex offender treatment program after referral to such facility or program by a court after being charged with any felony offense and who is committed to any institution under the jurisdiction of the Department of the Youth Authority where he or she was confined, or is granted probation, or is or was committed to a state hospital as a mentally disordered sex offender under Article 1 (commencing with Section 6300) of Chapter 2 of Part 2 of Division 6 of the Welfare and Institutions Code, shall be required to provide two specimens of blood, a saliva sample, right thumbprints, and a full palm print impression of each hand to that institution or, in the case of a person granted probation, to a person and at a location within the county designated for testing.

(4) The term "felony" as used in this subdivision includes an attempt to commit the offense.

(5) Nothing in this chapter shall be construed as prohibiting collection and analysis of specimens, samples, or print impressions as a condition of a plea for a non-qualifying offense.

(b) The provisions of this chapter and its requirements for submission of specimens, samples and print impressions as soon as administratively practicable shall apply to all qualifying persons regardless of sentence imposed, including any sentence of death, life without the possibility of parole, or any life or indeterminate term, or any other disposition rendered in the case of an adult or juvenile tried as an adult, or whether the person is diverted, fined, or referred for evaluation, and regardless of disposition rendered or placement made in the case of juvenile who is found to have committed any felony offense or is adjudicated under Section 602 of the Welfare and Institutions Code.

(~~b~~) (c) The provisions of this chapter and its requirements for submission to testing of specimens, samples, and print impressions as soon as administratively practicable to provide specimens, samples, and print impressions by qualified persons as described in subdivision (a) shall apply regardless of placement or confinement in any mental hospital or other public or private treatment facility, and shall include, but not be limited to, the following persons, including juveniles:

(1) Any person committed to a state hospital or other treatment facility as a mentally disordered sex offender under Article 1 (commencing with Section 6300) of Chapter 2 of Part 2 of Division 6 of the Welfare and Institutions Code.

(2) Any person who has a severe mental disorder as set forth within the provisions of Article 4 (commencing with Section 2960) of Chapter 7 of Title 1 of Part 3 of the Penal Code.

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(3) Any person found to be a sexually violent predator pursuant to Article 4 (commencing with Section 6600) of Chapter 2 of Part 2 of Division 6 of the Welfare and Institutions Code.

(d) The provisions of this chapter are mandatory and apply whether or not the court advises a person, including any juvenile, that he or she must provide the ~~database~~ data bank and database specimens, samples, and print impressions as a condition of probation, parole, or any plea of guilty, no contest, or not guilty by reason of insanity, or any admission to any of the offenses described in subdivision (a).

~~(d) At sentencing or disposition, the prosecuting attorney shall verify in writing that the requisite samples are required by law, and that they have been taken, or are scheduled to be taken before the offender is released on probation, or other scheduled release. However, a failure by the prosecuting attorney or any other law enforcement agency to verify sample requirement or collection shall not relieve a person of the requirement to provide samples.~~

(e) If at any stage of court proceedings the prosecuting attorney determines that specimens, samples, and print impressions required by this chapter have not already been taken from any person, as defined under subdivision (a) of Section 296, the prosecuting attorney shall notify the court orally on the record, or in writing, and request that the court order collection of the specimens, samples, and print impressions required by law. However, a failure by the prosecuting attorney or any other law enforcement agency to notify the court shall not relieve a person of the obligation to provide specimens, samples, and print impressions pursuant to this chapter.

(f) Prior to final disposition or sentencing in the case the court shall inquire and verify that the specimens, samples, and print impressions required by this chapter have been obtained and that this fact is included in the abstract of judgment or dispositional order in the case of a juvenile. The abstract of judgment issued by the court shall indicate that the court has ordered the person to comply with the requirements of this chapter and that the person shall be included in the state's DNA and Forensic Identification Data Base and Data Bank program and be subject to this chapter.

However, failure by the court to verify specimen, sample, and print impression collection or enter these facts in the abstract of judgment or dispositional order in the case of a juvenile shall not invalidate a an arrest, plea, conviction, or disposition, or otherwise relieve a person from the requirements of this chapter.

SEC. 4. Section 296.1 of the Penal Code is amended to read:

296.1. (a) The specimens, samples, and print impressions required by this chapter shall be collected from persons described in subdivision (a) of Section 296 for present and past qualifying offenses of record as follows:

(1) Collection from any adult person following arrest for a felony offense as specified in subparagraphs (A), (B), and (C) of paragraph (2) of subdivision (a) of Section 296:

(A) Each adult person arrested for a felony offense as specified in subparagraphs (A), (B), and (C) of paragraph (2) of subdivision (a) of Section 296 shall provide the buccal swab samples and thumb and palm print impressions and any blood or other specimens required pursuant to this chapter immediately following arrest, or during the booking or intake or reception center process or as soon as administratively practicable after arrest, but, in any case, prior to release on bail or pending trial or any physical release from confinement or custody.

(B) If the person subject to this chapter did not have specimens, samples, and print impressions taken immediately following arrest or during booking or intake procedures or is released on bail or pending trial or is not confined or incarcerated at the time of sentencing or otherwise bypasses a prison inmate reception center maintained by the Department of Corrections, the court shall order the person to report within five calendar days to a county jail facility or to a city, state, local, private, or other designated facility to provide the required specimens, samples, and print impressions in accordance with subdivision (i) of Section 295.

(2) Collection from persons confined or in custody after conviction or adjudication:

(A) Any person, including any juvenile who is imprisoned or confined or placed in a state correctional institution, a county jail, a facility within the jurisdiction of the Department of the Youth Authority, the Board of Corrections, a residential treatment program, or any state, local, city, private, or other facility after a conviction of any felony or

misdemeanor offense, or any adjudication or disposition rendered in the case of a juvenile, whether or not that crime or offense is one set forth in subdivision (a) of Section 296, shall provide buccal swab samples and thumb and palm print impressions and any blood or other specimens required pursuant to this chapter, immediately at intake, or during the prison reception center process, or as soon as administratively practicable at the appropriate custodial or receiving institution or placed in program if:

(i) The person has a record of any past or present conviction or adjudication as a ward of the court in California of a qualifying offense described in subdivision (a) of Section 296 or has a record of any past or present conviction or adjudication in any other court, including any state, federal, or military court, of any offense that, if committed or attempted in this state, would have been punishable as an offense described in subdivision (a) of Section 296; and

(ii) The person's blood specimens, buccal swab samples, and thumb and palm print impressions authorized by this chapter are not in the possession of the Department of Justice DNA Laboratory or have not been recorded as part of the department's DNA data bank program.

(3) Collection from persons on probation, parole, or other release:

(A) Any person, including any juvenile, who has a record of any past or present conviction or adjudication for an offense set forth in subdivision (a) of Section 296, and who is on probation or parole for any felony or misdemeanor offense, whether or not that crime or offense is one set forth in subdivision (a) of Section 296, shall provide buccal swab samples and thumb and palm print impressions and any blood specimens required pursuant to this chapter, if:

(i) The person has a record of any past or present conviction or adjudication as a ward of the court in California of a qualifying offense described in subdivision (a) of Section 296 or has a record of any past or present conviction or adjudication in any other court, including any state, federal, or military court, of any offense that, if committed or attempted in this state, would have been punishable as an offense described in subdivision (a) of Section 296; and

(ii) The person's blood specimens, buccal swab samples, and thumb and palm print impressions authorized by this chapter are not in the possession of the Department of Justice DNA Laboratory or have not been recorded as part of the department's DNA data bank program.

(B) The person shall have any required specimens, samples, and print impressions collected within five calendar days of being notified by the court, or a law enforcement agency or other agency authorized by the Department of Justice. The specimens, samples, and print impressions shall be collected in accordance with subdivision (i) of Section 295 at a county jail facility or a city, state, local, private, or other facility designated for this collection.

(4) Collection from parole violators and others returned to custody:

(A) If a person, including any juvenile, who has been released on parole, furlough, or other release for any offense or crime, whether or not set forth in subdivision (a) of Section 296, is returned to a state correctional or other institution for a violation of a condition of his or her parole, furlough, or other release, or for any other reason, that person shall provide buccal swab samples and thumb and palm print impressions and any blood or other specimens required pursuant to this chapter, at a state correctional or other receiving institution, if:

(i) The person has a record of any past or present conviction or adjudication as a ward of the court in California of a qualifying offense described in subdivision (a) of Section 296 or has a record of any past or present conviction or adjudication in any other court, including any state, federal, or military court, of any offense that, if committed or attempted in this state, would have been punishable as an offense described in subdivision (a) of Section 296; and

(ii) The person's blood specimens, buccal swab samples, and thumb and palm print impressions authorized by this chapter are not in the possession of the Department of Justice DNA Laboratory or have not been recorded as part of the department's DNA data bank program.

(5) Collection from persons accepted into California from other jurisdictions:

(A) When an offender from another state is accepted into this state under any of the interstate compacts described in Article 3 (commencing with Section 11175) or Article 4 (commencing with Section 11189) of Chapter 2 of Title 1 of Part 4 of this code, or Chapter 4 (commencing with Section 1300) of Part 1 of Division 2 of the Welfare and

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Institutions Code, or under any other reciprocal agreement with any county, state, or federal agency, or any other provision of law, whether or not the offender is confined or released, the acceptance is conditional on the offender providing blood specimens, buccal swab samples, and palm and thumb print impressions pursuant to this chapter, if the offender has a record of any past or present conviction or adjudication in California of a qualifying offense described in subdivision (a) of Section 296 or has a record of any past or present conviction or adjudication or had a disposition rendered in any other court, including any state, federal, or military court, of any offense that, if committed or attempted in this state, would have been punishable as an offense described in subdivision (a) of Section 296.

(B) If the person is not confined, the specimens, samples, and print impressions required by this chapter must be provided within five calendar days after the person reports to the supervising agent or within five calendar days of notice to the person, whichever occurs first. The person shall report to a county jail facility in the county where he or she resides or temporarily is located to have the specimens, samples, and print impressions collected pursuant to this chapter. The specimens, samples, and print impressions shall be collected in accordance with subdivision (i) of Section 295.

(C) If the person is confined, he or she shall provide the blood specimens, buccal swab samples, and thumb and palm print impressions required by this chapter as soon as practicable after his or her receipt in a state, county, city, local, private, or other designated facility.

(6) Collection from persons in federal institutions:

(A) Subject to the approval of the Director of the FBI, persons confined or incarcerated in a federal prison or federal institution who have a record of any past or present conviction or juvenile adjudication for a qualifying offense described in subdivision (a) of Section 296, or of a similar crime under the laws of the United States or any other state that would constitute an offense described in subdivision (a) of Section 296, are subject to this chapter and shall provide blood specimens, buccal swab samples, and thumb and palm print impressions pursuant to this chapter if any of the following apply:

(i) The person committed a qualifying offense in California.

(ii) The person was resident of California at the time of the qualifying offense.

(iii) The person has any record of a California conviction for an offense described in subdivision (a) of Section 296, regardless of when the crime was committed.

(iv) The person will be released in California.

(B) The Department of Justice DNA Laboratory shall, upon the request of the United States Department of Justice, forward portions of the specimens or samples, taken pursuant to this chapter, to the United States Department of Justice DNA data bank laboratory. The specimens and samples required by this chapter shall be taken in accordance with the procedures set forth in subdivision (i) of Section 295. The Department of Justice DNA Laboratory is authorized to analyze and upload specimens and samples collected pursuant to this section upon approval of the Director of the FBI.

(b) Retroactive application of paragraphs (1), (2), (3), (4), (5), and (6) of subdivision (a).

(1) Subdivision (a) and all of its paragraphs shall have retroactive application. Collection shall occur pursuant to paragraphs (1), (2), (3), (4), (5), and (6) of subdivision (a) regardless of when the crime charged or committed became a qualifying offense pursuant to this chapter, and regardless of when the person was convicted of the qualifying offense described in subdivision (a) of Section 296 or a similar crime under the laws of the United States or any other state, or pursuant to the United States Code of Military Justice, 10 U.S.C., Sections 801 and following, or when disposition was rendered in the case of a juvenile who is adjudged a ward of the court for commission of a qualifying offense described in subdivision (a) of Section 296 or a similar crime under the laws of the United States or any other state.

(a) Any person, including any juvenile, who comes within the provisions of this chapter for an offense set forth in subdivision (a) of Section 296, and who is granted probation, or serves his or her entire term of confinement in a county jail, or is not sentenced to a term of confinement in a state prison facility, or otherwise bypasses a prison inmate reception center maintained by the Department of Corrections, shall, as soon as administratively practicable, but in any case, prior to physical release from custody, be required to provide two specimens of blood, a saliva sample, and thumb and palm print impressions as set

forth in subdivision (a) of Section 296, at a county jail facility or other state, local, or private facility designated for the collection of these specimens, samples, and print impressions, in accordance with subdivision (f) of Section 295.

If the person subject to this chapter is not incarcerated at the time of sentencing, the court shall order the person to report within five calendar days to a county jail facility or other state, local, or private facility designated for the collection of specimens, samples, and print impressions to provide these specimens, samples, and print impressions in accordance with subdivision (f) of Section 295.

(b) If a person who comes within the provisions of this chapter for an offense set forth in subdivision (a) of Section 296 is sentenced to serve a term of imprisonment in a state correctional institution, the Director of Corrections shall collect the blood specimens, saliva samples, and thumb and palm print impressions required by this chapter from the person during the intake process at the reception center designated by the director, or as soon as administratively practicable thereafter at a receiving penal institution.

(c) Any person, including, but not limited to, any juvenile and any person convicted and sentenced to death, life without the possibility of parole, or any life or indeterminate term, who is imprisoned or confined in a state correctional institution, a county jail, a facility within the jurisdiction of the Department of the Youth Authority, or any other state, local, or private facility after a conviction of any crime, or disposition rendered in the case of a juvenile, whether or not that crime or offense is one set forth in subdivision (a) of Section 296, shall provide two specimens of blood, a saliva sample, and thumb and palm print impressions pursuant to this chapter, as soon as administratively practicable once it has been determined that both of the following apply:

(1) The person has been convicted or adjudicated a ward of the court in California of a qualifying offense described in subdivision (a) of Section 296 or has been convicted or had a disposition rendered in any other court, including any state, federal, or military court, of any offense that, if committed or attempted in this state, would have been punishable as an offense described in subdivision (a) of Section 296.

(2) The person's blood specimens, saliva samples, and thumb and palm print impressions authorized by this chapter are not in the possession of the Department of Justice DNA Laboratory as part of the DNA data bank program.

This subdivision applies regardless of when the person was convicted of the qualifying offense described in subdivision (a) of Section 296 or a similar crime under the laws of the United States or any other state, or when disposition was rendered in the case of a juvenile who is adjudged a ward of the court for commission of a qualifying offense described in subdivision (a) of Section 296 or a similar crime under the laws of the United States or any other state.

(d) Any person, including any juvenile, who comes within the provisions of this chapter for an offense set forth in subdivision (a) of Section 296, and who is on probation or parole, shall be required to provide two specimens of blood, a saliva sample, and thumb and palm print impressions as required pursuant to this chapter, if it is determined that the person has not previously provided these specimens, samples, and print impressions to law enforcement, or if it is determined that these specimens, samples, and print impressions are not in the possession of the Department of Justice. The person shall have the specimens, samples, and print impressions collected within five calendar days of being notified by a law enforcement agency or other agency authorized by the Department of Justice. The specimens, samples, and print impressions shall be collected in accordance with subdivision (f) of Section 295 at a county jail facility or other state, local, or private facility designated for this collection.

This subdivision shall apply regardless of when the crime committed became a qualifying offense pursuant to this chapter.

(e) When an offender from another state is accepted into this state under any of the interstate compacts described in Article 3 (commencing with Section 11175) or Article 4 (commencing with Section 1180) of Chapter 2 of Title 1 of Part 4 of this code, or Chapter 4 (commencing with Section 1300) of Part 1 of Division 2 of the Welfare and Institutions Code, or under any other reciprocal agreement with any county, state or federal agency, or any other provision of law, whether or not the offender is confined or released, the acceptance is conditional on the offender providing blood specimens, saliva samples, and palm and thumb print impressions pursuant to this chapter, if the offender was convicted of an offense which would qualify as a crime described in subdivision (a) of Section 296, or if the person was con-

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violate of a similar crime under the laws of the United States or any other state.

If the person is not confined, the specimens, samples, and print impressions required by this chapter must be provided within five calendar days after the offender reports to the supervising agent or within five calendar days of notice to the offender, whichever occurs first. The person shall report to a county jail facility in the county where he or she resides or temporarily is located to have the specimens, samples, and print impressions collected pursuant to this chapter. The specimens, samples, and print impressions shall be collected in accordance with subdivision (f) of Section 295.

If the person is confined, he or she shall provide the blood specimens, saliva samples, and thumb and palm print impressions required by this chapter as soon as practicable after his or her receipt in a state, county, local, private, or other facility.

(f) Subject to the approval of the Director of the Federal Bureau of Investigation, persons confined or incarcerated in a federal prison or federal institution located in California who are convicted of a qualifying offense described in subdivision (a) of Section 296 or of a similar crime under the laws of the United States or any other state that would constitute an offense described in subdivision (a) of Section 296, are subject to this chapter and shall provide blood specimens, saliva samples, and thumb and palm print impressions pursuant to this chapter if any of the following apply:

- (1) The person committed a qualifying offense in California.
- (2) The person was a resident of California at the time of the qualifying offense.
- (3) The person has any record of a California conviction for a sex or violent offense described in subdivision (a) of Section 296, regardless of when the crime was committed.
- (4) The person will be released in California.

Once a federal data bank is established and accessible to the Department of Justice, the Department of Justice DNA Laboratory shall, upon the request of the United States Department of Justice, forward the samples taken pursuant to this chapter, with the exception of those taken from suspects pursuant to subdivision (b) of Section 297, to the United States Department of Justice DNA data bank laboratory. The samples and impressions required by this chapter shall be taken in accordance with the procedures set forth in subdivision (f) of Section 295.

(g) If a person who is released on parole, furlough, or other release, is returned to a state correctional institution for a violation of a condition of his or her parole, furlough, or other release, and is serving or at any time has served a term of imprisonment for committing an offense described in subdivision (a) of Section 296, and he or she did not provide specimens, samples, and print impressions pursuant to the state's DNA data bank program, the person shall submit to collection of blood specimens, saliva samples, and thumb and palm print impressions at a state correctional institution.

This subdivision applies regardless of the crime or Penal Code violation for which a person is returned to a state correctional institution and regardless of the date the qualifying offense was committed.

SEC. 5. Section 297 of the Penal Code is amended to read:

297. (a) (1) The laboratories of the Department of Justice that are accredited by the American Society of Crime Laboratory Directors Laboratory Accreditation Board (ASCLD/LAB) or any certifying body approved by the ASCLD/LAB, and any law enforcement crime laboratory designated by the Department of Justice that is accredited by the ASCLD/LAB or any certifying body approved by the ASCLD/LAB, are authorized to analyze crime scene samples and other samples of known and unknown origin and to compare and check the forensic identification profiles, including DNA profiles, of these samples against available DNA and forensic identification data banks and data bases databases in order to establish identity and origin of samples for identification purposes.

(2) Laboratories, including law enforcement laboratories, that are accredited by ASCLD/LAB or any certifying body approved by the ASCLD/LAB that contract with the Department of Justice pursuant to Section 298.3 are authorized to perform anonymous analysis of specimens and samples for forensic identification as provided in this chapter.

(b) (1) Except as provided in paragraph (2), a biological sample taken in the course of a criminal investigation, either voluntarily or by court order, from a person who has not been convicted, may only be compared to samples taken from that specific criminal investigation and may not be compared to any other samples from any other criminal investigation without a court order.

(2) A biological sample obtained from a suspect, as defined in paragraph (3), in a criminal investigation may be analyzed for forensic identification profiles, including DNA profiles so that the profile can be placed in a suspect data base file and searched against the DNA data bank profiles of case evidence. For the purposes of this subdivision, the DNA data bank comparison of suspect and evidence profiles may be made, by the DNA Laboratory of the Department of Justice, or any crime laboratory designated by the Department of Justice that is accredited by the ASCLD/LAB or any certifying body approved by the ASCLD/LAB.

(3) For the purposes of this subdivision, "a suspect" means a person against whom an information or indictment has been filed for one of the crimes listed in subdivision (a) of Section 296. For the purposes of this subdivision, a person shall remain a suspect for two years from the date of the filing of the information or indictment or until the DNA laboratory receives notification that the person has been acquitted of the charges or the charges were dismissed.

(b) (1) A biological sample obtained from a suspect in a criminal investigation for the commission of any crime may be analyzed for forensic identification profiles, including DNA profiles, by the DNA Laboratory of the Department of Justice or any law enforcement crime laboratory accredited by the ASCLD/LAB or any certifying body approved by the ASCLD/LAB and then compared by the Department of Justice in and between as many cases and investigations as necessary, and searched against the forensic identification profiles, including DNA profiles, stored in the files of the Department of Justice DNA data bank or database or any available data banks or databases as part of the Department of Justice DNA Database and Data Bank Program.

(2) The law enforcement investigating agency submitting a specimen, sample, or print impression to the DNA Laboratory of the Department of Justice or law enforcement crime laboratory pursuant to this section shall inform the Department of Justice DNA Laboratory within two years whether the person remains a suspect in a criminal investigation. Upon written notification from a law enforcement agency that a person is no longer a suspect in a criminal investigation, the Department of Justice DNA Laboratory shall remove the suspect sample from its data bank files. However, any identification, warrant, arrest, or prosecution based upon a data bank or database match shall not be invalidated or dismissed due to a failure to purge or delay in purging records.

(c) All laboratories, including the Department of Justice DNA laboratories, contributing DNA profiles for inclusion in California's DNA Data Bank shall be accredited by the ASCLD/LAB or any certifying body approved by the ASCLD/LAB. Additionally, each laboratory shall submit to the Department of Justice for review the annual report required by the ASCLD/LAB or any certifying body approved by the ASCLD/LAB which documents the laboratory's adherence to ASCLD/LAB standards or the standards of any certifying body approved by the ASCLD/LAB. The requirements of this subdivision apply to California laboratories only and do not preclude DNA profiles developed in California from being searched in the National DNA Data Base Database or Data Bank (CODIS).

(d) Nothing in this section precludes local law enforcement DNA laboratories meeting Technical Working Group on DNA Analysis Methods (TWGDAM) or Scientific Working Group on DNA Analysis Methods (SWGDM) guidelines or standards promulgated by the DNA Advisory Board as established pursuant to Section 14131 of Title 42 of the United States Code, from maintaining local forensic databases and data banks or performing forensic identification analyses, including DNA profiling, independent of independently from the Department of Justice DNA and Forensic Identification Data Base and Data Bank program Program.

(e) The limitation on the types of offenses set forth in subdivision (a) of Section 296 as subject to the collection and testing procedures of this chapter is for the purpose of facilitating the administration of this chapter by the Department of Justice, and shall not be considered cause for dismissing an investigation or prosecution or reversing a verdict or disposition.

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(f) The detention, arrest, wardship, *adjudication*, or conviction of a person based upon a data bank match or ~~data base~~ database information is not invalidated if it is later determined that the specimens, samples, or print impressions were obtained or placed or retained in a data bank or ~~data base~~ database by mistake.

SEC. 6. Section 298 of the Penal Code is amended to read:

298. (a) The Director of Corrections, or the Chief Administrative Officer of the detention facility, jail, or other facility at which the blood specimens, ~~saliva~~ buccal swab samples, and thumb and palm print impressions were collected shall cause these specimens, samples, and print impressions to be forwarded promptly to the Department of Justice. The specimens, samples, and print impressions shall be collected by a person using a Department of Justice approved collection kit and in accordance with the requirements and procedures set forth in subdivision (b).

(b) (1) The Department of Justice shall provide all blood specimen vials, buccal swab collectors, mailing tubes, labels, and instructions for the collection of the blood specimens, ~~saliva~~ buccal swab samples, and thumbprints. The specimens, samples, and thumbprints shall thereafter be forwarded to the DNA Laboratory of the Department of Justice for analysis of DNA and other forensic identification markers.

Additionally, the Department of Justice shall provide all full palm print cards, mailing envelopes, and instructions for the collection of full palm prints. The full palm prints, on a form prescribed by the Department of Justice, shall thereafter be forwarded to the Department of Justice for maintenance in a file for identification purposes.

(2) The withdrawal of blood shall be performed in a medically approved manner. Only health care providers trained and certified to draw blood may withdraw the blood specimens for purposes of this section.

(3) Buccal swab samples may be procured by law enforcement or corrections personnel or other individuals trained to assist in buccal swab collection.

~~(4)~~ (4) Right thumbprints and a full palm print impression of each hand shall be taken on forms prescribed by the Department of Justice. The palm print forms shall be forwarded to and maintained by the Bureau of Criminal Identification and Information of the Department of Justice. Right thumbprints also shall be taken at the time of the ~~withdrawal~~ collection of blood samples and specimens and shall be placed on the sample and specimen containers and forms as directed by the Department of Justice and the blood vial label. The blood vial samples, specimens, and forms and thumbprint forms shall be forwarded to and maintained by the DNA Laboratory of the Department of Justice.

(5) The law enforcement or custodial agency collecting specimens, samples, or print impressions is responsible for confirming that the person qualifies for entry into the Department of Justice DNA Database and Data Bank Program prior to collecting the specimens, samples, or print impressions pursuant to this chapter.

~~(4)~~ (6) The DNA Laboratory of the Department of Justice is responsible for establishing procedures for entering data bank and ~~data base~~ database information. The DNA laboratory procedures shall confirm that the offender qualifies for entry into the DNA data bank prior to actual entry of the information into the DNA data bank.

(c) (1) Persons authorized to draw blood or obtain samples or print impressions under this chapter for the data bank or ~~data base~~ database shall not be civilly or criminally liable either for withdrawing blood when done in accordance with medically accepted procedures, or for obtaining ~~saliva~~ buccal swab samples by scraping inner cheek cells of the mouth, or thumb or palm print impressions when performed in accordance with standard professional practices.

(2) There is no civil or criminal cause of action against any law enforcement agency or the Department of Justice, or any employee thereof, for a mistake in confirming a person's or sample's qualifying status for inclusion within the database or data bank or in placing an entry in a data bank or a ~~data base~~ database.

(3) The failure of the Department of Justice or local law enforcement to comply with Article 4 or any other provision of this chapter shall not invalidate an arrest, plea, conviction, or disposition.

SEC. 7. Section 298.2 is added to the Penal Code, to read:

298.2. (a) Any person who is required to submit a specimen sample or print impression pursuant to this chapter who engages or attempts to engage in any of the following acts is guilty of a felony punishable by imprisonment in the state prison for two, three, or four years:

(1) Knowingly facilitates the collection of a wrongfully attributed blood specimen, buccal swab sample, or thumb or palm print impression, with the intent that a government agent or employee be deceived as to the origin of a DNA profile or as to any identification information associated with a specimen, sample, or print impression required for submission pursuant to this chapter.

(2) Knowingly tampers with any specimen, sample, print, or the collection container for any specimen or sample, with the intent that any government agent or employee be deceived as to the identity of the person to whom the specimen, sample, or print relates.

SEC. 8. Section 298.3 is added to the Penal Code, to read:

298.3. (a) To ensure expeditious and economical processing of offender specimens and samples for inclusion in the FBI's CODIS System and the state's DNA Database and Data Bank Program, the Department of Justice DNA Laboratory is authorized to contract with other laboratories, whether public or private, including law enforcement laboratories, that have the capability of fully analyzing offender specimens or samples within 60 days of receipt, for the anonymous analysis of specimens and samples for forensic identification testing as provided in this chapter and in accordance with the quality assurance requirement established by CODIS and ASCLD/LAB.

(b) Contingent upon the availability of sufficient funds in the state's DNA Identification Fund established pursuant to Section 76104.6, the Department of Justice DNA Laboratory shall immediately contract with other laboratories, whether public or private, including law enforcement laboratories, for the anonymous analysis of offender reference specimens or samples and any arrestee reference specimens or samples collected pursuant to subdivision (a) of Section 296 for forensic identification testing as provided in subdivision (a) of this section and in accordance with the quality assurance requirements established by CODIS and ASCLD/LAB for any specimens or samples that are not fully analyzed and uploaded into the CODIS database within six months of the receipt of the reference specimens or samples by the Department of Justice DNA Laboratory.

SEC. 9. Section 299 of the Penal Code is amended to read:

299. (a) A person whose DNA profile has been included in the data bank pursuant to this chapter shall have his or her information and materials expunged from the data bank when the underlying conviction or disposition serving as the basis for including the DNA profile has been reversed and the case dismissed, the defendant has been found factually innocent of the underlying offense pursuant to Section 851.8, the defendant has been found not guilty, or the defendant has been acquitted of the underlying offense. The court issuing the reversal, dismissal, or acquittal shall order the expungement and shall send a copy of that order to the Department of Justice DNA Laboratory Director. Upon receipt of the court order, the Department of Justice shall expunge all identifiable information in the data bank and any criminal identification records pertaining to the person.

(a) A person whose DNA profile has been included in the data bank pursuant to this chapter shall have his or her DNA specimen and sample destroyed and searchable database profile expunged from the data bank program pursuant to the procedures set forth in subdivision (b) if the person has no past or present offense or pending charge which qualifies that person for inclusion within the state's DNA and Forensic Identification Database and Data Bank Program and there otherwise is no legal basis for retaining the specimen or sample or searchable profile.

(b) ~~(1) A person whose DNA profile has been included in a data bank pursuant to this chapter~~ Pursuant to subdivision (a), a person who has no past or present qualifying offense, and for whom there otherwise is no legal basis for retaining the specimen or sample or searchable profile, may make a written request to expunge information and materials from the data bank have his or her specimen and sample destroyed and searchable database profile expunged from the data bank program if:

(1) Following arrest, no accusatory pleading has been filed within the applicable period allowed by law charging the person with a qualifying offense as set forth in subdivision (a) of Section 296 or if the charges which served as the basis for including the DNA profile in the state's DNA Database and Data Bank Identification Program have been dismissed prior to adjudication by a trier of fact;

(2) The underlying conviction or disposition serving as the basis for including the DNA profile has been reversed and the case dismissed;

(3) The person has been found factually innocent of the underlying offense pursuant to Section 851.8, or Section 781.5 of the Welfare and Institutions Code; or

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(4) The defendant has been found not guilty or the defendant has been acquitted of the underlying offense.

(c) (1) The person requesting the data bank entry to be expunged must send a copy of his or her request to the trial court of the county where the arrest occurred, or that entered the conviction or rendered disposition in the case, to the DNA Laboratory of the Department of Justice, and to the prosecuting attorney of the county in which he or she was arrested or, convicted, or adjudicated, with proof of service on all parties. The court has the discretion to grant or deny the request for expungement. The denial of a request for expungement is a nonappealable order and shall not be reviewed by petition for writ.

(2) Except as provided below, the Department of Justice shall ~~destroy a specimen and sample and expunge the searchable DNA database profile all identifiable information in the data bank and any criminal identification records~~ pertaining to the person who ~~has no present or past qualifying offense of record~~ upon receipt of a court order that verifies the applicant has made the necessary showing at a noticed hearing, and that includes all of the following:

(A) The written request for expungement pursuant to this section.

(B) A certified copy of the court order reversing and dismissing the conviction or case, or a letter from the district attorney certifying that *no accusatory pleading has been filed or the charges which served as the basis for collecting a DNA specimen and sample have been dismissed prior to adjudication by a trier of fact*, the defendant has been found factually innocent, the defendant has been found not guilty, the defendant has been acquitted of the underlying offense, or the underlying conviction has been reversed and the case dismissed.

(C) Proof of written notice to the prosecuting attorney and the Department of Justice that expungement has been requested.

(D) A court order verifying that no retrial or appeal of the case is pending, that it has been at least 180 days since the defendant *or minor* has notified the prosecuting attorney and the Department of Justice of the expungement request, and that the court has not received an objection from the Department of Justice or the prosecuting attorney.

(e) (d) Upon order from the court, the Department of Justice shall destroy any specimen or sample collected from the person and any ~~criminal identification records~~ *searchable DNA database profile* pertaining to the person, unless the department determines that the person *is subject to the provisions of this chapter because of a past qualifying offense of record or is or has otherwise become obligated to submit a blood specimen or buccal swab sample as a result of a separate arrest, conviction, juvenile adjudication, or finding of guilty or not guilty by reason of insanity for an offense described in subdivision (a) of Section 296, or as a condition of a plea.*

The Department of Justice is not required to destroy an autograph analytical data or other item items obtained from a blood specimen or saliva, or buccal swab sample, if evidence relating to another person subject to the provisions of this chapter would thereby be destroyed or otherwise compromised.

Any identification, warrant, probable cause to arrest, or arrest based upon a data bank or database match is not invalidated due to a failure to expunge or a delay in expunging records.

(d) The Department of Justice DNA Laboratory shall periodically review its files to determine whether its files contain DNA reference sample profiles from suspects as defined in subdivision (b) of Section 297 who are no longer eligible for inclusion in the data bank. The DNA profiles and samples stored in the suspect data base from a person who is a suspect in a criminal investigation shall be purged within two years of the date of the filing of the information or indictment or when the DNA laboratory receives notice that the suspect was acquitted or the charges against the suspect were dismissed, whichever occurs earlier. The notice shall include a certified copy of the court order dismissing the information or indictment, a certified copy of the defendant's fingerprints and the defendant's CII number.

(e) Notwithstanding any other provision of law, the Department of Justice DNA Laboratory is not required to expunge DNA profile or forensic identification information or destroy or return specimens, samples, or print impressions taken pursuant to this section if the duty to register under Section 290 or 457.1 is terminated.

(f) Notwithstanding any other provision of law, including Sections 17, 1203.4, and 1203.4a, a judge is not authorized to relieve a person of the separate administrative duty to provide specimens, samples, or print impressions required by this chapter if a person has been found guilty or was adjudicated a ward of the court by a trier of fact of

a qualifying offense as defined in subdivision (a) of Section 296, or was found not guilty by reason of insanity or pleads no contest to a qualifying offense as defined in subdivision (a) of Section 296.

SEC. 10. Section 299.5 of the Penal Code is amended to read:

299.5. (a) All DNA and forensic identification profiles and other identification information retained by the Department of Justice pursuant to this chapter are exempt from any law requiring disclosure of information to the public and shall be confidential except as otherwise provided in this chapter.

(b) All evidence and forensic samples containing biological material retained by the Department of Justice DNA Laboratory or other state law enforcement agency are exempt from any law requiring disclosure of information to the public or the return of biological specimens, *samples, or print impressions*.

(c) Non-DNA forensic identification information may be filed with the offender's file maintained by the Sex Registration Unit of the Department of Justice or in other computerized data bank *or database* systems maintained by the Department of Justice.

(d) The DNA and other forensic identification information retained by the Department of Justice pursuant to this chapter shall not be included in the state summary criminal history information. However, nothing in this chapter precludes law enforcement personnel from entering into a person's criminal history information or offender file maintained by the Department of Justice, the fact that the specimens, samples, and print impressions required by this chapter have or have not been collected from that person.

(e) The fact that the blood specimens, ~~saliva~~ or buccal swab samples, and print impressions required by this chapter have been received by the DNA Laboratory of the Department of Justice shall be included in the state summary criminal history information *as soon as administratively practicable*.

The full palm prints of each hand shall be filed and maintained by the Automated Latent Print Section of the Bureau of Criminal Identification and Information of the Department of Justice, and may be included in the state summary criminal history information.

(f) *DNA samples and DNA profiles* and other forensic identification information shall be released only to law enforcement agencies, including, but not limited to, parole officers of the Department of Corrections, hearing officers of the parole authority, probation officers, the Attorney General's office, district attorneys' offices, and prosecuting city attorneys' offices, ~~or to a court or administrative tribunal, except as specified in~~ *unless otherwise specifically authorized by this chapter. Dissemination of this information DNA specimens, samples, and DNA profiles and other forensic identification information* to law enforcement agencies and district attorneys' offices outside this state shall be performed in conformity with the provisions of this chapter.

(g) A defendant's DNA and other forensic identification information developed pursuant to this chapter shall be available to his or her defense counsel upon court order made pursuant to Chapter 10 (commencing with Section 1054) of Title 6 of Part 2.

(h) Except as provided in subdivision (g) and in order to protect the confidentiality and privacy of database and data bank information, the Department of Justice and local public DNA laboratories shall not otherwise be compelled in a criminal or civil proceeding to provide any DNA profile or forensic identification database or data bank information or its computer database program software or structures to any person or party seeking such records or information whether by subpoena or discovery, or other procedural device or inquiry.

(e) (i) (1) (A) Any person who knowingly uses an offender *specimen*, sample, or DNA profile collected pursuant to this chapter for other than criminal identification or exclusion purposes, *or for other than the identification of missing persons*, or who knowingly discloses DNA or other forensic identification information developed pursuant to this section to an unauthorized individual or agency, for other than criminal identification or exclusion purposes, *or for the identification of missing persons*, in violation of this chapter, shall be punished by imprisonment in a county jail not exceeding one year or by imprisonment in the state prison.

(B) Any person who, for the purpose of financial gain, knowingly uses an offender's specimen, sample, or DNA profile collected pursuant to this chapter for other than criminal identification or exclusion purposes or for the identification of missing persons or who, for the purpose of financial gain, knowingly discloses DNA or other forensic identification information to a person who is not authorized to receive the information is guilty of a Class C misdemeanor.

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tification information developed pursuant to this section to an unauthorized individual or agency, for other than criminal identification or exclusion purposes or for other than the identification of missing persons, in violation of this chapter, shall, in addition to the penalty provided in subparagraph (A), be punished by a criminal fine in an amount three times that of any financial gain received or ten thousand dollars (\$10,000), whichever is greater.

(2) (A) If any employee of the Department of Justice knowingly uses ~~an offender's~~ a specimen, sample, or DNA profile collected pursuant to this chapter for other than criminal identification or exclusion purposes, or knowingly discloses DNA or other forensic identification information developed pursuant to this section to an unauthorized individual or agency, for other than criminal identification or exclusion purposes or for other than the identification of missing persons, in violation of this chapter, the department shall be liable in civil damages to the donor of the DNA identification information in the amount of five thousand dollars (\$5,000) for each violation, plus attorney's fees and costs. In the event of multiple disclosures, the total damages available to the donor of the DNA is limited to fifty thousand dollars (\$50,000) plus attorney's fees and costs.

(B) (i) Notwithstanding any other law, this shall be the sole and exclusive remedy against the Department of Justice and its employees available to the donor of the DNA.

(ii) The Department of Justice employee disclosing DNA identification information in violation of this chapter shall be absolutely immune from civil liability under this or any other law.

(3) It is not a violation of this section for a law enforcement agency in its discretion to publicly disclose the fact of a DNA profile match, or the name of the person identified by the DNA match when this match is the basis of law enforcement's investigation, arrest, or prosecution of a particular person, or the identification of a missing or abducted person.

(4) (i) It is not a violation of this chapter to furnish DNA or other forensic identification information of the defendant to his or her defense counsel for criminal defense purposes in compliance with discovery.

(ii) (k) It is not a violation of this section for law enforcement to release DNA and other forensic identification information developed pursuant to this chapter to a jury or grand jury, or in a document filed with a court or administrative agency, or as part of a judicial or administrative proceeding, or for this information to become part of the public transcript or record of proceedings when, in the discretion of law enforcement, disclosure is necessary because the DNA information pertains to the basis for law enforcement's identification, arrest, investigation, prosecution, or exclusion of a particular person related to the case.

(iii) (l) It is not a violation of this section to include information obtained from a file in a transcript or record of a judicial proceeding, or in any other public record when the inclusion of the information in the public record is authorized by a court, statute, or decisional law.

(iv) (m) It is not a violation of this section for the DNA Laboratory of the Department of Justice, or an organization retained as an agent of the Department of Justice, or a local public laboratory to use anonymous DNA records or criminal history information obtained pursuant to this chapter for training, research, statistical analysis of populations, or quality assurance or quality control.

(v) (n) It is not a violation of this section to disseminate statistical or research information obtained from the offender's file, the computerized databank system, any of the DNA laboratory's databases, or the full palm print file, provided that the subject of the file is not identified and cannot be identified from the information disclosed. All requests for statistical or research information obtained from the DNA databank shall be cataloged by the Department of Justice. Commencing January 1, 2000, the department shall submit an annual letter to the Legislature including, with respect to each request, the requester's name or agency, the purpose of the request, whether the request is related to a criminal investigation or court proceeding, whether the request was granted or denied, any reasons for denial, costs incurred or estimates of the cost of the request, and the date of the request.

(vi) (n) The Department of Justice shall make public the methodology and procedures to be used in its DNA program prior to the commencement of DNA testing in its laboratories. The Department of Justice shall review and consider on an ongoing basis the findings and results of any peer review and validation studies submitted to the department by members of the relevant scientific community experienced in

the use of DNA technology. This material shall be available to criminal defense counsel upon court order made pursuant to Chapter 10 (commencing with Section 1054) of Title 6 of Part 2.

(7) (o) In order to maintain the computer system security of the Department of Justice DNA and forensic identification database and databank program Forensic Identification Database and Data Bank Program, the computer software and database structures used by the DNA Laboratory of the Department of Justice to implement this chapter are confidential.

(8) (p) Nothing in this section shall preclude a court from ordering discovery pursuant to Chapter 10 (commencing with Section 1054) of Title 6 of Part 2.

SEC. 11. Section 299.6 of the Penal Code is amended to read:

299.6. (a) Nothing in this chapter shall prohibit the Department of Justice, in its sole discretion, from the sharing or disseminating of population data-base database or data bank information, DNA profile or forensic identification database or data bank information, analytical data and results generated for forensic identification database and data bank purposes, or protocol and forensic DNA analysis methods and quality assurance or quality control procedures with any of the following:

(1) Federal, state, or local law enforcement agencies.

(2) Crime laboratories, whether public or private, that serve federal, state, and local law enforcement agencies that have been approved by the Department of Justice.

(3) The attorney general's office of any state.

(4) Any state or federally authorized auditing agent or board that inspects or reviews the work of the Department of Justice DNA Laboratory for the purpose of ensuring that the laboratory meets ASCLD/LAB and FBI standards for accreditation and quality assurance standards necessary under this chapter and for the state's participation in CODIS and other national or international crime-solving networks.

(5) Any third party that the Department of Justice deems necessary to assist the department's crime laboratory with statistical analyses of the population data-base databases, or the analyses of forensic protocol, research methods, or quality control procedures, or to assist in the recovery or identification of human remains for humanitarian purposes, including identification of missing persons.

(b) Nothing in this chapter shall prohibit the sharing or disseminating of protocol and forensic DNA analysis methods and quality control procedures with any of the following:

(1) Federal, state, or local law enforcement agencies.

(2) Crime laboratories, whether public or private, that serve federal, state, and local law enforcement agencies that have been approved by the Department of Justice.

(3) The attorney general's office of any state.

(4) Any third party that the Department of Justice deems necessary to assist the department's crime laboratory with analyses of forensic protocol, research methods, or quality control procedures.

(c) (b) The population data-base databases and data bank banks of the DNA Laboratory of the Department of Justice may be made available to and searched by the FBI and any other agency participating in the FBI's CODIS System or any other national or international law enforcement database or data bank system.

(d) (c) The Department of Justice may provide portions of the biological samples including blood specimens and, saliva samples, and buccal swab samples collected pursuant to this chapter to local public law enforcement DNA laboratories for identification purposes provided that the privacy provisions of this section are followed by the local public law enforcement laboratory and if each of the following conditions is met:

(1) The procedures used by the local public DNA laboratory for the handling of specimens and samples and the disclosure of results are the same as those established by the Department of Justice pursuant to Sections 297, 298, and 299.5.

(2) The methodologies and procedures used by the local public DNA laboratory for DNA or forensic identification analysis are compatible with those established used by the Department of Justice pursuant to subdivision (i) of Section 299.5, or otherwise are determined by the Department of Justice to be valid and appropriate for identification purposes.

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(3) Only tests of value to law enforcement for identification purposes are performed and a copy of the results of the analysis are sent to the Department of Justice.

(4) All provisions of this section concerning privacy and security are followed.

(5) The local public law enforcement DNA laboratory assumes all costs of securing the specimens and samples and provides appropriate tubes, labels, and ~~instructions materials~~ necessary to secure the specimens and samples.

~~(e)~~ (d) Any local DNA laboratory that produces DNA profiles of known reference samples for inclusion within the permanent files of the state's DNA Data Bank program ~~shall comply with and be subject to all of the rules, regulations, and restrictions of this chapter and~~ shall follow the policies of the DNA Laboratory of the Department of Justice.

SEC. 12. Section 300 of the Penal Code is amended to read:

300. Nothing in this chapter shall limit or abrogate any existing authority of law enforcement officers to take, maintain, store, and utilize DNA or forensic identification markers, blood specimens, buccal swab samples, saliva samples, or thumb or palm print impressions for identification purposes.

SEC. 13. Section 300.1 of the Penal Code is amended to read:

300.1. (a) Nothing in this chapter shall be construed to restrict the authority of local law enforcement to maintain their own DNA-related databases or data banks, or to restrict the Department of Justice with respect to data banks and ~~data-bases~~ databases created by other statutory authority, including, but not limited to, ~~data-bases~~ databases related to fingerprints, firearms and other weapons, child abuse, domestic violence deaths, child deaths, driving offenses, missing persons, violent crime information as described in Title 12 (commencing with Section 14200) of Part 4, and criminal justice statistics permitted by Section 13305.

(b) Nothing in this chapter shall be construed to limit the authority of local or county coroners or their agents, in the course of their scientific investigation, to utilize genetic and DNA technology to inquire into and determine the circumstances, manner, and cause of death, or to employ or use outside laboratories, hospitals, or research institutions that utilize genetic and DNA technology.

SEC. 14. Section 300.2 is added to the Penal Code, to read:

300.2. Any requirement to provide saliva samples pursuant to this chapter shall be construed as a requirement to provide buccal swab samples as of the effective date of the act that added this section. However, the Department of Justice may retain and use previously collected saliva and other biological samples as part of its database and databank program and for quality control purposes in conformity with the provisions of this chapter.

SEC. IV. Supplemental Funding

SEC. 1. Section 76104.6 is added to the Government Code, to read:

76104.6. (a) For the purpose of implementing the DNA Fingerprint, Unsolved Crime and Innocence Protection Act, there shall be levied an additional penalty of one dollar for every ten dollars (\$10) or fraction thereof in each county which shall be collected together with and in the same manner as the amounts established by Section 1464 of the Penal Code, upon every fine, penalty, or forfeiture imposed and collected by the courts for criminal offenses, including all offenses involving a violation of the Vehicle Code or any local ordinance adopted pursuant to the Vehicle Code, except parking offenses subject to Article 3 (commencing with Section 40200) of Chapter 1 of Division 17 of the Vehicle Code. These moneys shall be taken from fines and forfeitures deposited with the county treasurer prior to any division pursuant to Section 1463 of the Penal Code. The board of supervisors shall establish in the county treasury a DNA Identification Fund into which shall be deposited the collected moneys pursuant to this section. The moneys of the fund shall be allocated pursuant to subdivision (b).

(b) (1) The fund moneys described in subdivision (a), together with any interest earned thereon, shall be held by the county treasurer separate from any funds subject to transfer or division pursuant to Section 1463 of the Penal Code. Deposits to the fund may continue through and including the 20th year after the initial calendar year in which the surcharge is collected, or longer if and as necessary to make payments upon any lease or leaseback arrangement utilized to finance any of the projects specified herein.

(2) On the last day of each calendar quarter of the year specified in this subdivision, the county treasurer shall transfer fund moneys in the county's DNA Identification Fund to the state Controller for credit to the state's DNA Identification Fund, which is hereby established in the State Treasury, as follows:

(A) In the first two calendar years following the effective date of this section, 70 percent of the amounts collected, including interest earned thereon;

(B) In the third calendar year following the effective date of this section, 50 percent of the amounts collected, including interest earned thereon;

(C) In the fourth calendar year following the effective date of this section and in each calendar year thereafter, 25 percent of the amounts collected, including interest earned thereon.

(3) Funds remaining in the county's DNA Identification Fund shall be used only to reimburse local sheriff or other law enforcement agencies to collect DNA specimens, samples, and print impressions pursuant to this chapter; for expenditures and administrative costs made or incurred to comply with the requirements of paragraph (5) of subdivision (b) of Section 298 including the procurement of equipment and software integral to confirming that a person qualifies for entry into the Department of Justice DNA Database and Data Bank Program; and to local sheriff, police, district attorney, and regional state crime laboratories for expenditures and administrative costs made or incurred in connection with the processing, analysis, tracking, and storage of DNA crime scene samples from cases in which DNA evidence would be useful in identifying or prosecuting suspects, including the procurement of equipment and software for the processing, analysis, tracking, and storage of DNA crime scene samples from unsolved cases.

(4) The state's DNA Identification Fund shall be administered by the Department of Justice. Funds in the state's DNA Identification Fund, upon appropriation by the Legislature, shall be used by the Attorney General only to support DNA testing in the state and to offset the impacts of increased testing and shall be allocated as follows:

(A) Of the amount transferred pursuant to subparagraph (A) of paragraph (2) of subdivision (b), 90 percent to the Department of Justice DNA Laboratory, first, to comply with the requirements of Section 298.3 of the Penal Code and, second, for expenditures and administrative costs made or incurred in connection with the processing, analysis, tracking, and storage of DNA specimens and samples including the procurement of equipment and software for the processing, analysis, tracking, and storage of DNA samples and specimens obtained pursuant to the DNA and Forensic Identification Database and Databank Act, as amended, and 10 percent to the Department of Justice Information Bureau Criminal History Unit for expenditures and administrative costs that have been approved by the Chief of the Department of Justice Bureau of Forensic Services made or incurred to update equipment and software to facilitate compliance with the requirements of subdivision (e) of Section 299.5 of the Penal Code.

(B) Of the amount transferred pursuant to subparagraph (B) of paragraph (2) of subdivision (b), funds shall be allocated by the Department of Justice DNA Laboratory, first, to comply with the requirements of Section 298.3 of the Penal Code and, second, for expenditures and administrative costs made or incurred in connection with the processing, analysis, tracking, and storage of DNA specimens and samples including the procurement of equipment and software for the processing, analysis, tracking, and storage of DNA samples and specimens obtained pursuant to the DNA and Forensic Identification Database and Databank Act, as amended.

(C) Of the amount transferred pursuant to subparagraph (C) of paragraph (2) of subdivision (b), funds shall be allocated by the Department of Justice to the DNA Laboratory to comply with the requirements of Section 298.3 of the Penal Code and for expenditures and administrative costs made or incurred in connection with the processing, analysis, tracking, and storage of DNA specimens and samples including the procurement of equipment and software for the processing, analysis, tracking, and storage of DNA samples and specimens obtained pursuant to the DNA and Forensic Identification Database and Databank Act, as amended.

(c) On or before April 1 in the year following adoption of this section, and annually thereafter, the board of supervisors of each county shall submit a report to the Legislature and the Department of Justice. The report shall include the total amount of fines collected and allocated pursuant to this section, and the amounts expended by the

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county for each program authorized pursuant to paragraph (3) of subdivision (b) of this section. The Department of Justice shall make the reports publicly available on the department's Web site.

(d) All requirements imposed on the Department of Justice pursuant to the DNA Fingerprint, Unsolved Crime and Innocence Protection Act are contingent upon the availability of funding and are limited by revenue, on a fiscal year basis, received by the Department of Justice pursuant to this section and any additional appropriation approved by the Legislature for purposes related to implementing this measure.

(e) Upon approval of the DNA Fingerprint, Unsolved Crime and Innocence Protection Act, the Legislature shall loan the Department of Justice General Fund in the amount of \$7,000,000 for purposes of implementing that act. This loan shall be repaid with interest calculated at the rate earned by the Pooled Money Investment Account at the time the loan is made. Principal and interest on the loan shall be repaid in full no later than four years from the date the loan was made and shall be repaid from revenue generated pursuant to this section.

SEC. V. General Provisions

(a) Conflicting Measures: If this measure is approved by the voters,

but superseded by any other conflicting ballot measure approved by more voters at the same election, and the conflicting ballot measure is later held invalid, it is the intent of the voters that this measure shall be self-executing and be given the full force of the law.

(b) Severability: The provisions of this measure are severable. If any provision of this measure or its application is held invalid, that invalidity shall not affect other provisions or applications that can be given effect without the invalid provision or application.

(c) Amendment: The provisions of this measure may be amended by a statute that is passed by each house of the Legislature and signed by the Governor. All amendments to this measure shall be to further the measure and shall be consistent with its purposes to enhance the use of DNA identification evidence for the purpose of accurate and expeditious crime-solving and exonerating the innocent.

(d) Supplantation: All funds distributed to state or local governmental entities pursuant to this measure shall not supplant any federal, state, or local funds that would, in the absence of this measure, be made available to support law enforcement and prosecutorial activities.

Proposition 70

This initiative measure is submitted to the people in accordance with the provisions of Section 8 of Article II of the California Constitution.

This initiative measure amends the California Constitution and adds a section to the Government Code; therefore, existing provisions proposed to be deleted are printed in ~~strikeout type~~ and new provisions proposed to be added are printed in *italic type* to indicate that they are new.

PROPOSED LAW

THE INDIAN GAMING FAIR-SHARE REVENUE ACT
OF 2004

SECTION 1. Title

This act shall be known as the "Indian Gaming Fair-Share Revenue Act of 2004."

SEC. 2. Findings and Purpose

The people of the State of California hereby find and declare as follows:

(a) The purpose of the people of the State of California in enacting this measure is to provide a means for California Indian tribes to contribute their fair share of gaming revenues to the State of California. Both the people of California and California Indian tribal governments desire for tribes to assist in restoring financial integrity to the state by contributing an amount that is equivalent to what any private California corporation pays to the state on the net income it earns from its lawful business activities.

(b) In March 2000, the people of the State of California adopted Proposition 1A, which authorized the Governor to negotiate tribal-state gaming compacts with federally recognized Indian tribes for the operation of slot machines and certain casino games on tribal lands in California in accordance with federal law. Proposition 1A was enacted by the people in recognition of the fact that, historically, Indian tribes within the state have long suffered from high rates of unemployment and inadequate educational, housing, elderly care, and health care opportunities, while typically being located on lands that are not conducive to economic development in order to meet those needs.

(c) Since the adoption of Proposition 1A, over 50 Indian tribes have entered into tribal gaming compacts with the State of California. These compacts and the gaming facilities they authorize have assisted Indian tribes throughout the state to move towards economic self-sufficiency by providing a much-needed revenue source for various tribal purposes, including tribal government services and programs such as those that address reservation housing, elderly care, education, health care, roads, sewers, water systems, and other tribal needs. Tribal gaming has also spurred new development, has created thousands of jobs for Indians and non-Indians alike, and has had a substantial positive economic impact on the local communities in which these facilities are located.

(d) Under the existing tribal gaming compacts, Indian tribes also pay millions of dollars each year into two state special funds that are used to provide grants to local governments, to finance programs

addressing gambling addiction, to reimburse the state for the costs of regulating tribal gaming, and to share gaming revenues with other Indian tribes in the state that do not operate gaming facilities. However, because Indian tribes are sovereign governments and are exempt from most forms of taxation, they do not pay any corporate income taxes directly to the state on the profits derived from their gaming operations.

(e) Given California's current fiscal crisis, the state needs to find new ways to generate revenues for the General Fund in the State Treasury. Indian tribes want to and should do their part to assist California in meeting its budget needs by contributing to the state a fair share of the net income they receive from gaming activities in recognition of their continuing right to operate tribal gaming facilities in an economic environment free of competition from casino-style gaming on non-Indian lands. A fair share for the Indian tribes to contribute to the state is an amount that is equivalent to the amount of corporate taxes that a private California corporation pays to the state on the net income it earns from its lawful business activities.

(f) Accordingly, in order to provide additional revenues to the State of California in this time of fiscal crisis, this measure authorizes and requires the Governor to enter into new or amended tribal gaming compacts under which the Indian tribes agree to contribute to the state a fair share of the net income derived from their gaming activities in exchange for the continued exclusive right to operate casino-style gaming facilities in California. In addition, in order to maximize revenues for the state and to permit the free market to determine the number and type of casino games and devices that will exist on tribal lands, this measure requires these new or amended compacts to allow each tribal government to choose the number and size of the gaming facilities it operates, and the types of games offered, that it believes will maximize the tribe's income, as long as the facilities are restricted to and are located in those areas that have been designated by both the State of California and the United States government as tribal lands. Under the new or amended compacts authorized by this measure, Indian tribes must also prepare environmental impact reports analyzing the off-reservation impacts of any proposed new or expanded gaming facilities, and they must consult with the public and local government officials to develop a good-faith plan to mitigate any significant adverse environmental impacts.

SEC. 3. Section 19 of Article IV of the California Constitution is amended to read:

SEC. 19. (a) The Legislature has no power to authorize lotteries and shall prohibit the sale of lottery tickets in the State.

(b) The Legislature may provide for the regulation of horse races and horse race meetings and wagering on the results.

(c) Notwithstanding subdivision (a), the Legislature by statute may authorize cities and counties to provide for bingo games, but only for charitable purposes.

(d) Notwithstanding subdivision (a), there is authorized the establishment of a California State Lottery.

CIVIL COVER SHEET

JS 44 (Rev. 12/07) (and rev 1-16-08)

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON PAGE TWO OF THE FORM.)

I. (a) PLAINTIFFS

ELIZABETH AIDA HASKELL and REGINALD ENTO, on behalf of themselves and others similarly situated,

DEFENDANTS EDMUND G. BROWN, JR., Attorney General of California; EVA STEINBERGER, Assistant Bureau Chief for DNA Programs, California Department of Justice; and MICHAEL ENNESSEY, Sheriff, San Francisco County

(b) County of Residence of First Listed Plaintiff ALAMEDA
(EXCEPT IN U.S. PLAINTIFF CASES)

County of Residence of First Listed Defendant SAN FRANCISCO
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE LAND INVOLVED.

(c) Attorney's (Firm Name, Address, and Telephone Number)

PAUL, HASTINGS, JANOFSKY & WALKER LLP
55 Second Street, 24th Floor
San Francisco, CA 94105
Telephone: (415) 856-7000

Attorneys (If Known)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- ☐ 1 U.S. Government Plaintiff ☒ 3 Federal Question (U.S. Government Not a Party)
☐ 2 U.S. Government Defendant ☐ 4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)

- | | PTF | DEF | | PTF | DEF |
|---|----------------------------|----------------------------|---|----------------------------|----------------------------|
| Citizen of This State | ☐ 1 | ☐ 1 | Incorporated or Principal Place of Business in This State | ☐ 4 | ☐ 4 |
| Citizen of Another State | ☐ 2 | ☐ 2 | Incorporated and Principal Place of Business in Another State | ☐ 5 | ☐ 5 |
| Citizen or Subject of a Foreign Country | ☐ 3 | ☐ 3 | Foreign Nation | ☐ 6 | ☐ 6 |

IV. NATURE OF SUIT (Place an "X" in One Box Only)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
☐ 110 Insurance ☐ 120 Marine ☐ 130 Miller Act ☐ 140 Negotiable Instrument ☐ 150 Recovery of Overpayment & Enforcement of Judgment ☐ 151 Medicare Act ☐ 152 Recovery of Defaulted Student Loans (Excl. Veterans) ☐ 153 Recovery of Overpayment of Veteran's Benefits ☐ 160 Stockholders' Suits ☐ 190 Other Contract ☐ 195 Contract Product Liability ☐ 196 Franchise	PERSONAL INJURY ☐ 310 Airplane ☐ 315 Airplane Product Liability ☐ 320 Assault, Libel & Slander ☐ 330 Federal Employers' Liability ☐ 340 Marine ☐ 345 Marine Product Liability ☐ 350 Motor Vehicle ☐ 355 Motor Vehicle Product Liability ☐ 360 Other Personal Injury	PERSONAL INJURY ☐ 362 Personal Injury—Med. Malpractice ☐ 365 Personal Injury—Product Liability ☐ 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY ☐ 370 Other Fraud ☐ 371 Truth in Lending ☐ 380 Other Personal Property Damage ☐ 385 Property Damage Product Liability	☐ 610 Agriculture ☐ 620 Other Food & Drug ☐ 625 Drug Related Seizure of Property 21 USC 881 ☐ 630 Liquor Laws ☐ 640 R.R. & Truck ☐ 650 Airline Regs. ☐ 660 Occupational Safety/Health ☐ 690 Other	☐ 422 Appeal 28 USC 158 ☐ 423 Withdrawal 28 USC 157 PROPERTY RIGHTS ☐ 820 Copyrights ☐ 830 Patent ☐ 840 Trademark
REAL PROPERTY ☐ 210 Land Condemnation ☐ 220 Foreclosure ☐ 230 Rent Lease & Ejectment ☐ 240 Torts to Land ☐ 245 Tort Product Liability ☐ 290 All Other Real Property	CIVIL RIGHTS ☐ 441 Voting ☐ 442 Employment ☐ 443 Housing/Accommodations ☐ 444 Welfare ☐ 445 Amer. w/Disabilities - Employment ☐ 446 Amer. w/Disabilities - Other ☐ 440 Other Civil Rights	PRISONER PETITIONS ☐ 510 Motions to Vacate Sentence Habeas Corpus: ☐ 530 General ☐ 535 Death Penalty ☐ 540 Mandamus & Other ☐ 550 Civil Rights ☐ 555 Prison Condition	LABOR ☐ 710 Fair Labor Standards Act ☐ 720 Labor/Mgmt. Relations ☐ 730 Labor/Mgmt. Reporting & Disclosure Act ☐ 740 Railway Labor Act ☐ 790 Other Labor Litigation ☐ 791 Empl. Ret. Inc. Security Act	☐ 400 State Reapportionment ☐ 410 Antitrust ☐ 430 Banks and Banking ☐ 450 Commerce ☐ 460 Deportation ☐ 470 Racketeer Influenced and Corrupt Organizations ☐ 480 Consumer Credit ☐ 490 Cable/Sat TV ☐ 810 Selective Service ☐ 850 Securities/Commodities/Exchange ☐ 875 Customer Challenge 12 USC 3410 ☐ 890 Other Statutory Actions ☐ 891 Agricultural Acts ☐ 892 Economic Stabilization Act ☐ 893 Environmental Matters ☐ 894 Energy Allocation Act ☐ 895 Freedom of Information Act ☐ 900 Appeal of Fee Determination Under Equal Access to Justice ☒ 950 Constitutionality of State Statutes
			SOCIAL SECURITY ☐ 861 HIA (1395ff) ☐ 862 Black Lung (923) ☐ 863 DIWC/DIWW (405(g)) ☐ 864 SSID Title XVI ☐ 865 RSI (405(g))	
			FEDERAL TAX SUITS ☐ 870 Taxes (U.S. Plaintiff or Defendant) ☐ 871 IRS—Third Party 26 USC 7609	
		IMMIGRATION ☐ 462 Naturalization Application ☐ 463 Habeas Corpus—Alien Detainee ☐ 465 Other Immigration Actions		

V. ORIGIN (Place an "X" in One Box Only)

- ☒ 1 Original Proceeding ☐ 2 Removed from State Court ☐ 3 Remanded from Appellate Court ☐ 4 Reinstated or Reopened ☐ 5 Transferred from another district (specify) ☐ 6 Multidistrict Litigation ☐ 7 Appeal to District Judge from Magistrate Judgment

VI. CAUSE OF ACTION

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):

42 USC §1983

Brief description of cause:

Complaint for Declaratory, Injunctive, or Other Relief

VII. REQUESTED IN COMPLAINT:

☒ CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23

DEMAND \$

CHECK YES only if demanded in complaint:

JURY DEMAND: ☐ Yes ☒ No

VIII. RELATED CASE(S) IF ANY

PLEASE REFER TO CIVIL L.R. 3-12 CONCERNING REQUIREMENT TO FILE "NOTICE OF RELATED CASE". None

IX. DIVISIONAL ASSIGNMENT (CIVIL L.R. 3-2) (PLACE AND "X" IN ONE BOX ONLY)

☒ SAN FRANCISCO/OAKLAND

☐ SAN JOSE

DATE
October 7, 2009

SIGNATURE OF ATTORNEY OF RECORD

Chellu

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44**Authority For Civil Cover Sheet**

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

I. (a) Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.

(b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)

(c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".

II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.C.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; federal question actions take precedence over diversity cases.)

III. Residence (citizenship) of Principal Parties. This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.

IV. Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerks in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.

V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.

Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

Appeal to District Judge from Magistrate Judgment. (7) Check this box for an appeal from a magistrate judge's decision.

VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity.** Example: U.S. Civil Statute: 47 USC 553

Brief Description: Unauthorized reception of cable service

VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

Demand. In this space enter the dollar amount (in thousands of dollars) being demanded or indicate other demand such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

VIII. Related Cases. This section of the JS 44 is used to reference related pending cases if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.